

## California Department of Education Nonclassroom-Based Funding Determination Form Fiscal Year 2014–15

CDE Funding Determination Information: <http://www.cde.ca.gov/sp/cs/as/nclrbifunddet.asp>

<b>New Charter School: Due on or before December 1, 2014</b> (Funding Determination period will be effective for FYs 2014–15 and 2015–16)	Check One  (   )
<small>Note- New charters are required to file two additional reports within 90 days after the end of its first year of operation: (1) Unaudited actual report for FY 2014–15, and (2) a Funding Determination Form using FY 2015–16 budget data. Both reports are due to the CDE on or before September 30, 2015. Source: 5 CCR Section 11963.6 (a)</small>	
<b>Continuing Charter School: Due on or before February 1, 2015</b> (Funding Determination period will be effective at least two years to a maximum of 5 years beginning with the FY 2015–16)	( <b>X</b> )

### Complete Sections I through V

<b>Section I. Charter Information</b>		(Complete Lines 1 to 21)	5 CCR 11963.3 (a)(1) to (4)
1. Charter School Name	<b>Opportunities For Learning - Duarte</b>		2. Charter #
3. Charter Authorizer	<b>Duarte Unified</b>		4. CDS Code
5. Street Address	<b>320 N. Halstead Street, Suite 220</b>		
6. City	<b>Pasadena</b>	7. State	<b>CA</b>
9. Contact Name	<b>Joan Hall</b>	10. Title	<b>Vice President</b>
11. Phone / Fax Numbers	<b>626-921-8200</b>	12. E-Mail	<a href="mailto:joanhall@emsofl.com">joanhall@emsofl.com</a>
13. Requested Funding % (select one)	<b>100%</b>		
14. Years Requested (2, 3, 4, or 5)	<b>5</b>	<small>Note- new charters are limited to two years.</small>	
15. Current Funding Determination Expires	<b>2014/2015</b>	16. Requested Fiscal Year Periods	<b>Fiscal Year 2014/2015 to 2018/2019</b>
17. Charter Granted on	<b>7/1/2013</b>	18. Charter or Renewal Expires on	<b>6/30/2015</b>
19. Grade Levels Served	<b>7-12</b>	20. P2 ADA	<b>474.26</b>
		21. Est ADA	

<b>Section II. Certification</b>	(Sign and date)	5 CCR 11963.3 (b)(1)
I certify that:		
<ol style="list-style-type: none"> <li>1. The information provided is true and correct to the best of my ability and knowledge.</li> <li>2. This charter school's nonclassroom-based instruction is conducted for and substantially dedicated to the instructional benefit of the school's students.</li> <li>3. This charter school's governing board has adopted and implemented conflict of interest policies.</li> <li>4. All of the charter school's transactions, contracts, and agreements are in the best interest of the school and reflect a reasonable market rate for all goods, services, and considerations rendered for or supplied to the school.</li> </ol>		
<b>Joan Hall, Vice President</b>		
_____ Print Name of Charter School's Director, Principal, or Governing Board Chairperson		
_____ Signature of Charter School's Director, Principal, or Governing Board Chairperson		
_____ Vice President	12/19/2014	
Title of Authorized Individual	Date	

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**Section III. Financial Information** (For guidance on terms and definitions, refer to the California School Accounting Manual)

A. Total Resources	(Complete lines A.1.a. to d.)	5 CCR 11963.3 (a)(5)(A) and (6)
<b>1. Revenues and Other Resources</b>		
<b>a. Federal Revenues</b>		
(i) Public Charter Schools Grant Program (start up, implementation or dissemination) included in Line A1a.		\$ -
(ii) Public Charter Schools Grant Program (start up, implementation or dissemination) included in Line A1a.		\$ -
<b>b. State Revenues</b>		
		\$ 3,268,759
<b>c. Local Revenues</b>		
		\$ 625,806
<b>d. Other Financing Sources</b>		
		\$ 1,000
<b>e. Total Revenues</b> (Sum of lines A1a to A1d.)		
		\$ 3,895,565
<b>B. Total Expenditures and Other Uses</b> (Complete lines B.1. to B.4.)		
<b>1. Instruction and Related Services</b>		
5 CCR 11963.3 (a)(5)(B) and (6)		
<b>a. Salaries and Benefits</b>		
(i) Certificated		\$ 1,901,805
(ii) Classified		\$ 178,827
<b>b. Books, Supplies, and Equipment</b>		
		\$ 281,698
<b>c. Services and Other Operating Costs</b>		
(i) Contracts for Instructional Services		\$ -
(ii) Contracts for Instructional Support		\$ 3,065
(iii) All other Instruction Related Operating Costs		\$ 544,339
(iii) All other Instruction Related Operating Costs		\$ 233,240
<b>d. Subtotal Instruction and Related Services *</b>		
		\$ 3,142,974
<b>2. Operations and Facilities</b>		
5 CCR 11963.3 (a)(5)(C) and (6)		
<b>a. Salaries and Benefits</b>		
(i) Certificated		\$ -
(ii) Classified		\$ -
<b>b. Books, Supplies, and Equipment</b>		
		\$ -
<b>c. Services and Other Operating Costs</b>		
		\$ 388,727
<b>d. Facility Acquisition and Construction</b>		
		\$ 2,938
<b>e. Subtotal Operations and Facilities *</b>		
		\$ 391,665
<b>f. Allowable Facility Costs</b>		
(i) Enter actual square feet occupied by charter		14,397
(ii) Total Classroom-Based ADA (if applicable) reported at the prior year P-2 apportionment		-
(iii) Total Student Hours attended by nonclassroom-based pupils at the school site		45,528.96
(iv) Calculated Facilities Costs		\$ 52,453
Lesser of Line B2e or [(B2fii+(B2fiii / 868)] * \$1,000		-
Allowable		\$ 52,453
<b>3. Administration and All Other Activities</b>		
5 CCR 11963.3 (a)(5)(D) and (6)		
<b>a. Salaries and Benefits</b>		
(i) Certificated		\$ 10,181
(ii) Classified		\$ 819
<b>b. Books, Supplies, and Equipment</b>		
		\$ -
<b>c. Services and Other Operating Costs</b>		
(i) Contracts for Other Administrative Services		\$ 13,568
(ii) Supervisorial Oversight Fee		\$ 36,740
(iii) All Other Administration & Other Activities, Services & Operating Costs		\$ 102,455
<b>d. Subtotal Administration and All Other Activities *</b>		
		\$ 163,763

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**Section III. Financial Information (continued)**

**B. Total Expenditures and Other Uses (continued)**

<b>4. Other Outgo and Other Financing Uses</b>		<b>5 CCR 11963.3 (a)(5)(E) and (6)</b>
a. Debt Service	\$	-
b. Transfers to LEAs	\$	-
c. All Other Transfers and Outgo	\$	-
d. Subtotal Other Outgo and Other Financing Uses	\$	-
<b>5. Total Expenditures</b> (Sum of lines B1 to B4)	<b>\$</b>	<b>3,698,402</b>

<b>C. Revenues Over Expenditures- Surplus or (Deficit)</b>	(Line A.1.e minus B.5)		<b>\$ 197,163</b>
<b>5 CCR 11963.3 (a)(5)(F)</b>			

<b>D. Fund Balance</b>	(Complete line D.a.)		
a. Ending Balance from the prior fiscal year		<b>5 CCR 11963.3 (a)(5)(A)</b>	\$ -
b. Ending Fund Balance (Line C plus Line D.a.)			\$ 197,163

<b>E. Reserves</b>	(Complete lines E.a. to E.e.)				
If reserves listed in lines E.a. or E.b. are more than \$50,000 or over 5%, explain in Section IV.7. the reason for these excess reserves, pursuant to 5 CCR Section 11963.3(a)(5)(F).					
a. Designated for Economic Uncertainties		<table border="1" style="font-size: small;"> <tr><th style="padding: 2px;">% of Expenditures</th></tr> <tr><td style="text-align: center;">5.0%</td></tr> </table>	% of Expenditures	5.0%	\$ 105,907
% of Expenditures					
5.0%					
b. Facilities Acquisition or Capital Projects		0.0%	\$ -		
c. Reserves required by Charter Authorizer			\$ -		
d. Other Reserves (identify in Section IV.5 below)			\$ 91,256		
e. Unassigned/Unappropriated Fund Balance			\$ -		
d. Total (Sum of lines E.a to E.e.) <b>Note- Line E.d. must agree with Line D.b.</b>			\$ 197,163		

**Section IV. Supplemental Information** (Complete lines 1 to 7)

<sup>1</sup>. Enter the pupil-teacher ratio (PTR) as calculated pursuant to *Education Code* Section 51745.6 and 5 CCR, Section 11704.

<b>a. For Continuing Charter Schools Only</b>	
15 to 1	1. Enter the charter school's PTR
N/A	2. If PTR exceeds 25:1, enter the name of the largest unified school district in the county or counties in which the charter school operates.
24 to 1	3. Enter the unified school district's PTR
<b>b. For Newly Operational Charter Schools Only</b>	
# to 1	1. Enter the charter school's K-3 PTR
# to 1	2. Enter the charter school's Gr 4-6 PTR
# to 1	3. Enter the charter school's Gr 7-8 PTR
# to 1	4. Enter the charter school's Gr 9-12 PTR
	5. If any PTR on lines IV.1. b. 1 to 4 exceed 25:1, enter the name of the largest unified school district in the county or counties in which the charter school operates.
	f. Enter the applicable PTR by grade span for the unified school district listed on line IV.1.b.5
# to 1	K-3
# to 1	Gr 4-6
# to 1	Gr 7-8
# to 1	Gr 9-12

## California Department of Education

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2. Did any entity receive \$50,000 or more OR 10% or more of the charter school's total expenditures for the FY 2013–14 or 2014–15? Generally, these expenditures are reported under Services and Other Operating Costs in Section III, lines B.1.c, B.2.c, and B.3.c.

**Yes**

If Yes, list the name of each entity separately and the amount received. Are each of the contract payments made by the charter school based on specific services rendered, clearly stating the fee per service rendered and invoiced accordingly? Are any of the contract payments based upon an amount per unit of average daily attendance or some other percentage of the charter school's revenues, enrollment, etc.? If yes, please identify.

	Name of Entity	Amount	Purpose/Explanation	Contract payments	
				Based on specific services rendered (Yes or No)?	Based upon an amount per ADA or some other percentage (Yes or No) ?
a	California Office Systems Inc.	\$ 63,373	Office Supplies	<b>No</b>	<b>No</b>
b	Education Dynamics, Inc.	\$ 98,873	Student Reporting. Hardware/ Software Services	<b>Yes</b>	<b>No</b>
c	Education Management Systems III	\$ 942,001	Purchasing	<b>Yes</b>	<b>No</b>
d	Education Management Systems III, Inc.	\$ 276,296	Purchasing	<b>Yes</b>	<b>No</b>
e	Mountain Vista Plaza Partnership	\$ 67,700	Rent	<b>No</b>	<b>No</b>
f	NATHA	\$ 74,305	Rent	<b>No</b>	<b>No</b>
g	Partners in Special Education, Inc.	\$ 255,583	Special Education Services	<b>Yes</b>	<b>No</b>
h	PI Properties NO. 42, L.P.	\$ 58,885	Rent	<b>No</b>	<b>No</b>
i	Rocky Mountain Pathways Inc.	\$ 74,624	Student Field Trip Services	<b>Yes</b>	<b>No</b>

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**Section IV. Supplemental Information (continued)**

3. List the charter school's **CURRENT** governing board

Name of Board Member	ID (ie., Parent, Teacher, etc)	How was board member selected?	Is the member affiliated in any way with any entity listed in Section IV. 2. (Yes/No)?	Member's Board Term (From/To)
a <b>Bobbi Newman</b>	<b>Business Owner</b>	When a member resigns, the Board of Directors of Education Management Systems, Inc. selects and votes to appoint the new board member.	<b>No</b>	<b>1/27/12- OPEN</b>
b <b>William Rivera</b>	<b>Retired Communications Director, LAUSD</b>	When a member resigns, the Board of Directors of Education Management Systems, Inc. selects and votes to appoint the new board member.	<b>No</b>	<b>2/6/2007- OPEN</b>
c <b>Paul Devore</b>	<b>Chief Executive Officer FMS Financial Partners, Inc.</b>	When a member resigns, the Board of Directors of Education Management Systems, Inc. selects and votes to appoint the new board member.	<b>No</b>	<b>1/27/2012- OPEN</b>
d			<b>Select one</b>	
e			<b>Select one</b>	
f			<b>Select one</b>	
g			<b>Select one</b>	
h			<b>Select one</b>	

a. Has the governing board adopted and implemented conflict of interest policies and procedures?

**Yes**

b. For any governing board member identified as affiliated with any entity reported in Section IV.2, explain the nature of the affiliation.

**N/A**

4. Describe the entities or accounts involved for any transfers and other outgo reported on lines B.4.b. or c.

**N/A**

5. Identify reserves listed as "Other Reserves" and reported on Line E.d.

Amount of Reserve	Purpose of Reserve
<b>\$ 91,256</b>	<b>Reserves for Employee Accrued Personal Time Off Liability</b>

6. Indicate the number of full-time equivalent employees who possess a valid teaching certificate, permit, or other document equivalent to that which a teacher in other public schools would be required to hold issued by the Commission on Teacher Credentialing and who work in the charter school in a position required to provide direct instruction or direct instructional support to students.

FY 2013–14	<b>41.0</b>
FY 2014–15	<b>45.0</b>

7. If reserve amounts designated for economic uncertainties or capital projects are over the greater of \$50,000 or 5% of total expenditures (Lines E.a. or E.b.), explain the reason for maintaining excess reserves.

**Under GAAP and CSAM, Opportunities for Learning - Duarte is required to record deferred state payments as revenue and meet all statutory spending requirements in the current year, even if the actual cash has not been received. This revenue, once received, is then normally spent in the next year to meet the statutory spending requirements and other liabilities of the school. The charter believes doing so is necessary to maintain solvency in light of the economic uncertainty of the state deferrals and the difficulty of obtaining outside financing. While the state has begun to address the deferrals and the general school funding picture has improved, the fiscal situation for charter schools remains one where cash payments are postponed and unstable, thereby necessitating the reserve.**

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**Nonclassroom-Based Funding Determination Form**  
**Fiscal Year 2014–15**

**Section V. Nonclassroom-Based Virtual or On-Line Charters**

1. Is this charter school a Virtual or On-Line charter school as defined in 5 CCR Section 11963.5?

(A virtual or on-line charter school is one in which at least 80 percent of teaching and student interaction occurs via the Internet)

**No**

2. If this charter school is a virtual school, can the charter school demonstrate compliance with 5 CCR Section 11963.5 (b) (2) to (8)?

**No**

**California Department of Education**  
**Nonclassroom-Based Funding Determination Form**  
**Summary and Recommendation**  
Fiscal Year 2014–15

**A. Charter Information**

Charter School	<b>Opportunities For Learning - Duarte</b>	Charter #:	<b>1599</b>
Charter Authorizer	<b>Duarte Unified</b>	P2 ADA:	<b>474.26</b>
Requested Funding Percent	<b>100%</b>	Est. ADA:	<b>0.00</b>
Requested Fiscal Years	<b>Fiscal Year 2014/2015 to 2018/2019</b>		
Date Charter Granted	<b>7/1/2013</b>	Date Charter Expires:	<b>6/30/2015</b>

**B. Calculated Funding Determination Percentage**

<b>58.18%</b>	<p><b>1. Percent of Certificated Employee Salaries &amp; Benefits to Total Public Revenues</b> Source: 5 CCR 11963.3 (c) (1)</p> <p><b>Formula:</b> Certificated S&amp;B Line B.1.a (1) / Federal Revenues Lines A.1.a - PCSGP A.1.a (i) + State Revenues A.1.b.</p>
<b>82.03%</b>	<p><b>2. Percent of Instruction &amp; Instruction-Related Services to Total Revenues</b> Source: 5 CCR 11963.3 (c) (2)</p> <p><b>Formula:</b> Instructional &amp; Related Svcs. Line B.1.d. + Allowable Facilities 2.f.(iv) / Total Revenues Line A.1.e.</p>

**C. Funding Determination Criteria**

<b>100%</b>	Percent of certificated staff compensation to total public revenues equals or exceeds 40 percent AND the percent of total expenditures on Instruction and Related Services to total revenues equals or exceeds 80 percent AND the pupil-teacher ratio does not exceed 25:1 or the equivalent pupil-teacher ratio of the largest unified school district in the county or counties in which the charter school operates. [5 CCR 11963.4 (a) (3)]
<b>85%</b>	Percent of certificated staff compensation to total public revenues equals or exceeds 40 percent AND the percent of total expenditures on Instruction and Related Services to total revenues equals or exceeds 70 percent but less than 80 percent [5 CCR 11963.4 (a) (2)]
<b>70%</b>	Percent of certificated staff compensation to total public revenues equals or exceeds 35 percent but is less than 40 percent AND the percent of total expenditures on Instruction and Related Services to total revenues equals or exceeds 60 percent. [5 CCR 11963.4 (a) (1)]
<b>Zero</b>	Percent of certificated staff compensation to total public revenues is less than 35 percent OR the percent of total expenditures on Instruction and Related Services to total revenues is less than 60 percent. The nonclassroom-based instructional ADA will not be funded. [5 CCR 11963.4 (a) (4)]

**D. For CDE Use Only**

**Recommendation (Approve/ Revise/ Deny)**

**Number of Years**

**Fiscal Year Period**

 /  through  / 

**CDE Recommended %**

**Basis for Recommendation**

**Other Comments**