

**California Department of Education  
Funding Determination**

**Nonclassroom-Based  
Fiscal Year 2013-**

14

CDE Funding Determination Information: [www.cde.ca.gov/sp/cs/as/nclrbifunddet.asp](http://www.cde.ca.gov/sp/cs/as/nclrbifunddet.asp)

<b>New Charter School: Due on or before December 1, 2013</b> (Requesting funding determination applicable for the FYs 2013-14 and 2014-15)	Check One ( )
Note- The second FY funding determination is subject to additional review. New charters are required to file two reports: 1) FY 2013-14 unaudited actual report, and 2) a Funding Determination Form using FY 2014-15 budget data. Both reports are due to the CDE on or before September 30, 2014. Source: 5 CCR Section 11963.6 (a)	
<b>Continuing Charter School: Due on or before February 1, 2014</b> (Requesting funding determination for up to a maximum of 5 years beginning with the FY 2014-15)	( x )

**For New or Continuing charter schools, complete sections I through IV. For Virtual or On-Line charter schools, complete sections I through V.**

**Section I. Charter Information** (Complete information fields a through v)

a. Charter School Name	Excel Prep Charter School - IE			b. Charter #	1380
c. Charter Authorizer	Nuview Union			d. CDS Code	33-67157-0125666
e. Street Address	29780 Lakeview Avenue				
f. City	Nuevo	g. State		h. Zip Code	92567
i. Contact Name	David Pyle		j. Title	Superintendent	
k. Phone Number	951-928-0066	l. Fax No.	951-928-0324		
m. E-mail	dpyle@nuview.k12.us				
n. Requested Funding % (select one)	100%				
o. No. of years requested (2, 3, 4, or 5)	2 Note- new charters are limited to two years.				
p. Current Funding Determination expires	6/30/2014	q. Requested Fiscal Years	2014-15 to 2015-16		
r. Date Charter Granted	9/4/2012	s. Date Charter or Charter Renewal Expires	6/30/2017		
t. Grade Levels Served	K-12	u. P2 ADA	424.48	Continuing Charters	
		v. Est ADA		New Charters	

**Section II. Certification** (Sign and date)

I certify that:

- The information provided is true and correct to the best of my ability and knowledge.
- This charter school's nonclassroom-based instruction is conducted for and substantially dedicated to the instructional benefit of the school's students.
- This charter school's governing board has adopted and implemented conflict of interest policies.
- All of the charter school's transactions, contracts, and agreements are in the best interest of the school and reflect a reasonable market rate for all goods, services, and considerations rendered for or supplied to the school.

\_\_\_\_\_  
Signature of Charter School's Director, Principal, or Governing Board Chairperson

\_\_\_\_\_  
Executive Director  
Title of Authorized Individual

\_\_\_\_\_  
Date  
30-Jan-14

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**Section III. Financial Information** (For guidance on function / object definitions, use the California School Accounting Manual)

<b>A. Resources Available for Expenditure</b> (Complete lines A.1.a. to d. and line 2)			
<b>1. Revenues and Other Financing Sources</b>			
5 CCR 11963.3 (c) (1)	<b>a. Federal Revenues</b>	\$	151,875
	(i) Amount of Public Charter Schools Grant Program start up, implementation and dissemination grant included in line 1a.	\$	151,875
5 CCR 11963.3 (c) (1)	<b>b. State Revenues</b>	\$	2,437,107
5 CCR 11963.3 (c) (1)	<b>c. Local Revenues</b>	\$	264,666
	(i) Amount in Lieu of Property Taxes in line 1c.	\$	152,609
5 CCR 11963.3 (c) (2)	<b>e. Subtotal</b>	\$	2,853,648
	<b>2. Ending Balance from Prior Fiscal Year</b>	\$	-
	<b>3. Total Resources (Line A.1.e plus line A.2)</b>	\$	2,853,648
<b>B. Expenditures and Other Uses</b> (Complete lines B.1. to B.4.)			
<b>1. Instruction and Related Services</b>			
5 CCR 11963.3 (c) (1)	<b>a. Salaries and Benefits</b>	\$	1,109,527
	(i) Certificated	\$	242,927
	(ii) Classified	\$	222,269
	<b>b. Books, Supplies and Equipment</b>	\$	-
	<b>c. Services and Other Operating Costs</b>	\$	55,129
	(i) Contracts for Instructional Services	\$	191,633
	(ii) Contracts for Instructional Support	\$	43,805
	(iii) All other Instruction Related Operating Costs	\$	1,865,290
5 CCR 11963.3 (c) (2)	<b>d. Subtotal Instruction and Related Services *</b>	\$	1,865,290
<b>2. Operations and Facilities</b>			
	<b>a. Salaries and Benefits</b>	\$	56,162
	(i) Certificated	\$	28,632
	(ii) Classified	\$	253,487
	<b>b. Books, Supplies and Equipment</b>	\$	-
	<b>c. Services and Other Operating Costs</b>	\$	21,000
	<b>d. Facility Acquisition &amp; Construction</b>	\$	463,926.00
	<b>e. Subtotal Operations and Facilities *</b>	\$	534,476.96
	<b>f. Allowable Facility Costs</b>	\$	338,281.00
	(i) Enter actual square feet occupied by charter		21,000
	(ii) Total Classroom-Based ADA (if applicable) reported at P-2 apportionment		
	(iii) Total Student Hours attended by nonclassroom-based pupils at the school site		463,926.00
5 CCR 11963.3 (c) (2)	(iv) Allowable Facilities Costs Lesser of Line B2e or [(B2fii+(B2fiii / 868))] * \$1,000		534,476.96
		\$	338,281.00
<b>3. Administration and All Other Activities</b>			
	<b>a. Salaries and Benefits</b>	\$	-
	(i) Certificated	\$	170,834
	(ii) Classified	\$	10,957
	<b>b. Books, Supplies and Equipment</b>	\$	-
	<b>c. Services and Other Operating Costs</b>	\$	24,863
	(i) Contracts for Other Administrative Services	\$	299,633
	(ii) Supervisorial Oversight Fee	\$	-
	(iii) All Other Administration & Other Activities, Services & Operating Costs	\$	506,287
	<b>d. Subtotal Administration and All Other Activities *</b>	\$	506,287

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**Section III. Financial Information (continued)**

**B. Expenditures and Other Uses (continued)**

<b>4. Other Outgo and Other Uses</b>		
a. Debt Service		\$ -
b. Transfer to District or County		\$ -
c. All Other Outgo		\$ -
d. Subtotal Other Outgo and Other Financing Uses *		\$ -
<b>5. Total Expenditures (Sum of lines B1 to B4)</b>		<b>\$ 2,709,858</b>
<b>C. Revenues Over Expenditures (Deficit)</b>	(Line A.1.e minus B.5)	<b>\$ 143,790</b>
<b>D. Fund Balance</b>		
a. Beginning Fund Balance (Line A.2)		\$ -
b. Ending Fund Balance* (Line C plus Line D.a.)		<b>\$ 143,790</b>

**E. Reserves** (Complete lines E.a. to E.d.)

If amounts or percentages in lines E.a. or E.b. are \$50,000 or more OR 5% or more, explain in Section IV.7. below why reserve levels were maintained at such levels, pursuant to Title 5, Section 11963.3(a)(5)(F).

	<b>% of Expenditures</b>	
a. Designated for Economic Uncertainties	0.050000037	\$ 135,493
b. Facilities Acquisition or Capital Projects	0	\$ -
c. Reserves required by Charter Authorizer		\$ -
d. Other Reserves (identify in Section IV.5 below)		\$ -
e. Unobligated Fund Balance		\$ 8,296
d. Total (Sum of lines E.a. to E.e.) <b>Note- Line E.d. must agree with Line D.b.</b>		<b>\$ 143,789</b>

**Section IV. Supplemental Information**

(Complete lines 1 to 7)

1. Enter the charter school's pupil-teacher ratio as calculated pursuant to Education Code Section 51745.6 and CCR, Title 5, Section 11704.

23
# : 1

a. Enter the Pupil to FTE Teacher Ratio of the charter school

b. Enter the Pupil to FTE Teacher Ratio of the largest unified school district in the county(ies) served by the charter.

Enter the name of the largest unified school district in the county(ies) served by the charter school

2. For the FY 2012-13 or 2013-14, did any entity receive \$50,000 or more OR 10% or more of the charter school's total expenditures. Generally, these expenditures are reported in Section III, Lines B.1.c, B.2.c, and B.3.c.

<b>Yes</b>
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If Yes, list the name of each entity separately and the amount received. Are each of the contract payments made by the charter school based on specific services rendered, clearly stating the fee per service rendered and invoiced accordingly? Are any of the contract payments based upon an amount per unit of average daily attendance or some other percentage of the charter school's revenues, enrollment, etc.? If yes, please identify.

Entity	Amount	Purpose/Explanation	Payment Basis	
			Fee for Service rendered - invoiced	% per ADA or other %
a SES	\$ 68,862	Business Sports Services	Yes	No
b Principles of Faith	\$ 72,688	Rent of Facilities	No	No
c BRMS	\$ 56,772	Health Insurance	No	No
d Freedom House	\$ 50,000	Rent of Facilities	No	No
e Pearson Ed	\$ 57,105	Books	No	No
f			Select one	Select one
g			Select one	Select one
h			Select one	Select one
i			Select one	Select one

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**Section IV Supplemental Information (continued)**

3. Composition of the charter school's **CURRENT** governing board

	Board Member Name	ID (ie., Parent, Teacher, etc)	How was board member selected?	Is member affiliated with any entity listed in Section IV. 2. (Yes/No)?	Member's Board Term (From/To)
a	Zachary Engledow	President	Founding Member / Elected	No	7/1/2009-4/1/2013
b	Nichelle Emerson	Treasurer/Sec	Founding Member / Elected	No	7/1/2009-6/30/2014
c	Bertrum Rice	Member	Elected	No	6/1/2011-5/30/2014
d	Robert Thurman	Member	Elected	No	9/1/2012-8/30/2015
e					
f					
g					
h					

a. Has the governing board adopted and implemented conflict of interest policies and procedures?

Yes

b. For any governing board member identified as affiliated with an entity as reported in Section IV.2, explain the nature of the affiliation.

N/A

4. Describe the entities or accounts involved for transfers and other outgo reported in Line B.4.b. or c.

N/A

5. Identify reserves listed as "Other Reserves" and reported on Line E.d.

Amount of Reserve	Purpose of Reserve

6. Indicate the number of full-time equivalent employees who possess a valid teaching certificate, permit, or other document equivalent to that which a teacher in other public schools would be required to hold issued by the Commission on Teacher Credentialing and who work in the charter school in a position required to provide direct instruction or direct instructional support to students.

FY 2012-13	<b>22.0</b>
FY 2013-14	<b>26.0</b>

7. If reserve amounts designated for economic uncertainties or capital projects are over the greater of \$50,000 or 5% (Lines E.a. or E.b.), explain the purpose and the need to maintained reserves at reported levels, pursuant to 5 CCR 11963.4(b).

Reserves are over 5% by \$8,296

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**Section V. Nonclassroom-Based Virtual or On-Line Charters ONLY**

If at least 80 percent of teaching and student interaction for this charter occurs via the internet, complete lines 1 through 3.

1. Is this charter school a Nonclassroom-Based Virtual or On-Line charter school as defined in 5 CCR Section 11963.5?

N/A

2. Did charter maintain an 8 or above API in either its statewide or similar schools ranking and had no less than a 6 in the other of these two rankings?

N/A

3. Can charter school demonstrate compliance with the 8 criteria specified in 5 CCR Section 11963.5 (b)?

N/A

**California Department of Education  
Nonclassroom-Based Funding Determination  
FY 2013-14 Summary and Recommendation**

**A. Charter Information**

Charter School:	Excel Prep Charter School - IE	Charter #:	1380
Charter Authorizer:	Nuview Union	P2 ADA:	424.48
Charter Number	1380	Est. ADA:	0.00
Requested Fiscal Years:	2014-15 to 2015-16		
Date Charter Granted:	9/4/2012	Date Charter Expires:	6/30/2017

**B. Calculated Funding Determination Percentage**

42.84%	<b>1. Certificated Employee Salaries &amp; Benefits / Total Public Revenues %</b> Source: 5 CCR 11963.3 (c) (1) Formula: Line B.1.a.(i) divided by Lines A.1.a. - A.1.a.(i) + A.1.b. + A.1.c.(i)
77.22%	<b>2. Instruction &amp; Related Services / Total Revenues %</b> Source: 5 CCR 11963.3 (c) (2) Formula: Line B.1.d. + 2.f.(iv) divided by line A.1.e.

**C. Funding Determination Criteria**

**Charter Requested Funding Determination %**

100%

100%	Certificated staff compensation equals or exceeds 40 percent of total public revenues AND the percentage calculated for Total Expenditures on Instruction and Related Services equals or exceeds 80 percent of total revenues AND the charter's pupil-teacher does not exceed 25:1 or the equivalent pupil-teacher ratio of the largest unified school district in the county or counties in which the charter school operates
85%	Certificated staff compensation equals or exceeds 40% of total public revenues AND the percentage calculated for Total Expenditures on Instruction and Related Services equals or exceeds 70% but is less than 80% of total revenues.
70%	Certificated staff compensation equals or exceeds 35% but is less than 40% of total public revenues AND the percentage calculated for Total Expenditures on Instruction and Related Services equals or exceeds 60% of total revenues.
Zero	Certificated staff compensation is less than 35% of total public revenues OR the percentage calculated for Total Expenditures on Instruction and Related Services is less than 60% of total revenues. Nonclassroom-based instructional ADA will not be funded.

**D. Recommendation and Comments (For CDE Use Only)**

Did Section B comply with charter's requested percentage? (Yes/No)

Yes with mitigating circumstances

Number of Years

2

Fiscal Year Period

2014-15 through 2015-16

CDE Recommended %

100%

**Basis for Recommendation**

Charter started on 9/4/2012. State deferrals.

**Other Comments**

	<b>California Department of Education 2013–14 Nonclassroom-Based Funding Determination Mitigating Circumstances Request Form</b>
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**A. Charter Information**

Charter School:	<b>Excel Prep Charter School - IE</b>	Charter #	1380
Charter Authorizer:	<b>Nuview Union</b>	CDS Code:	<b>33-67157-0125666</b>
Requested Funding Determination %:	<b>100%</b>	Years in Operation:	<b>1</b>
		P2 ADA:	<b>424.48</b>

**B. Funding Determination Percentage** (CDE Funding Determination Form must be completed and filed with this form)

Percent Funding Criteria per 5 CCR	Certificated Staff Ratio	Instruction and Related Services Ratio	Pupil to Teacher Ratio
100% Funding [5 CCR, Sec. 11963.4 (a)(3)]	= or > 40%	= or > 80%	< 25 : 1
85% Funding [5 CCR, Sec. 11963.4 (a)(2)]	= or > 40%	= or > 70%	Not Applicable
70% Funding [5 CCR, Sec. 11963.4 (a)(1)]	= or > 35%	= or > 60%	Not Applicable
0% Funding [5 CCR, Sec. 11963.4 (a)(4)]	< 35%	< 60%	Not Applicable

**Enter charter school's calculated ratios from Form FDF**

	Certificated Staff Ratio	Instruction and Related Services Ratio	Pupil to Teacher Ratio
a. 100% Funding [5 CCR, Sec. 11963.4 (a)(3)]	<b>42.84</b> %	%	<b>23 : 1</b>
b. 85% Funding [5 CCR, Sec. 11963.4 (a)(2)]	%	<b>77.22</b> %	N/A
c. 70% Funding [5 CCR, Sec. 11963.4 (a)(1)]	%	%	N/A
d. 0% Funding [5 CCR, Sec. 11963.4 (a)(4)]	%	%	N/A

**C. Charter School's Mitigating Circumstances**

*California Code of Regulations*, Title 5 (5 CCR), Sec. 11963.4(e): A reasonable basis for the ACCS to make a recommendation other than one that results from the criteria specified in subdivision (a) may include, but not be limited to, the following: the information provided by the charter school (FDF form) pursuant to paragraphs (2) through (8), inclusive, of subdivision (b) of section 11963.3, documented data regarding individual circumstances of the charter school (e.g., one-time or unique or exceptional expenses for facilities, acquisition of a school bus, acquisition and installation of computer hardware not related to the instructional program, special education charges levied on the charter school by a local educational agency, restricted state, federal, or private grants of funds awarded to the charter school that cannot be expended for teacher salaries, or contracted instructional services other than those for special education), the size of the charter school, and how many years the charter school has been in operation.

<b>1.</b>	<p><b>Explain why the charter school failed to meet the 5 CCR requirements for funding, include specific action taken to comply.</b></p> <p><b>Our school fell just short of meeting the 80% goal for instructional expenses. We built our budget and program with the expectation of spending 80% on instruction. Financing expenses, incurred thru the sale of future revenues deferred by the state in order to meet cash flow needs, totaled \$132k of admin. &amp; general expenses. If Excel Prep IE had saved this \$132k in financing costs and spent this on instruction related expenditures, we still would have achieved a positive fund balance with a 5% reserve and overall instructional related expenditures would top 80% (81.98% to be exact). At this level Excel Prep IE would meet the requirements to receive 100% funding.</b></p>
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2.	<p><b>Explain why identified expenses are unique or exceptional and warrant consideration as mitigating circumstances.</b></p>
	<p>The expenses identified in our responses to #1 are unique in that schools were subjected to more deferrals than usual last year. Setting up facilities and incurring more costs for the administrative set up of a school are unique to new schools. There are multiple expenses that are high the first year (eg, office equipment, facility renovation, signage, etc) either become nonexistent in subsequent years or substantially decrease over time.</p>
3.	<p><b>Provide any other explanation that will assist the CDE and ACCS in making a decision on an appropriate funding determination.</b></p>

**D. Certification**

<p>I certify that:</p>	<p>The information provided is true and correct to the best of my ability and knowledge.</p> <p>_____</p> <p>Signature of Charter School's Director, Principal, or Governing Board Chairperson</p> <p>_____</p> <p>Title of Authorized Individual</p>
	<p style="text-align: right;"> <u>2/1/14</u>  Date </p>