

California Department of Education
Nonclassroom-Based Funding Determination - Mitigating Circumstances Request Summary Sheet
Fiscal Year 2016-17

A. Charter School Information (complete lines 1 to 4)

1. Charter School:	Castle Rock Charter School	2. Charter No.:	358
3. Requested Funding % with mitigating circumstances	100%	4. CDS Code:	08-10082-0830059

B. Nonclassroom-Based Funding Determination Criteria

Funding Determination Criteria	Certificated Staff Costs to Total Public Revenues Ratio	Instruction and Instruction-Related Services Costs to Total Revenues Ratio	Pupil toTeacher Ratio
100% Funding [5 CCR, Sec. 11963.4(a)(3)]	= or > 40%	= or > 80%	< 25 : 1
85% Funding [5 CCR, Sec. 11963.4(a)(2)]	= or > 40%	= or > 70%	Not Applicable
70% Funding [5 CCR, Sec. 11963.4(a)(1)]	= or > 35%	= or > 60%	Not Applicable

C. Enter the Reported Charter School's Expenditure Ratios and Pupil to Teacher Ratio from Form FDF

	Certificated Staff Costs to Total Public Revenues Ratio	Instruction and Instruction-Related Services Costs to Total Revenues Ratio	Pupil toTeacher Ratio
Enter the charter school's calculated ratios from the FDF in Section VI. Line 1. and VI. Line 2 ----->.	43.49	68.32	21 : 1

If the reported expenditure ratios and/or Pupil to Teacher ratio do not meet the funding determination criteria for the funding level requested, review the regulations in Section D and complete Sections E and F. Sign and attach any documentation that provides further support or clarification.

D. Regulations

California *Code of Regulations*, Title 5 (5 CCR), Sec. 11963.4(e): A reasonable basis for the ACCS to make a recommendation other than one that results from the criteria specified in subdivision (a) may include, but not be limited to, the following: the information provided by the charter school pursuant to paragraphs (2) through (8), inclusive, of subdivision (b) of section 11963.3, documented data regarding individual circumstances of the charter school (e.g., one-time or unique or exceptional expenses for facilities, acquisition of a school bus, acquisition and installation of computer hardware not related to the instructional program, special education charges levied on the charter school by a local educational agency, restricted state, federal, or private grants of funds awarded to the charter school that cannot be expended for teacher salaries, or contracted instructional services other than those for special education), the size of the charter school, and how many years the charter school has been in operation.

E. Mitigating Circumstances (complete lines 1, 2, and 3 and attach any supporting documentation)

1. Explain why the charter school did not meet the criteria for the funding determination requested. Include specific measures or actions taken by the charter school to comply.

The Charter Schools revenue to instructional expenditure was below 80%. During the 15/16 school year, salaries were increased for the certificated employees, additional instructional materials were purchased as well as additional hourly paid to certificated employees to provide increased intervention for students however this increase in instructional expenditures was not enough to offset the increase in one time and on-going revenues.

2. List and explain the mitigating circumstance(s) to be considered by the California Department of Education (CDE) and Advisory Commission on Charter Schools (ACCS).

Castle Rock Charter School is in the process of locating a new building onto their site. The estimated cost of this building, furnishings, and all the site work is in excess of \$600,000. The plans have just been approved by DSA and work will commence this spring (March, 2017). Castle Rock received over 600K in one time moneys last year in the form of Mandated Block grant and One time mandate reimbursement. It was determined that these one time unrestricted funds would be reserved for the construction project in the 16/17-17/18 school year. Castle Rock will also be purchasing new textbook adoptions, but has waited to adopt the same textbooks as the local school district. The Del Norte County Unified School District is finishing up it's adoption process, and will be deciding on new ELA adoption in March. It was determined that adopting the same textbooks as the School district would be in the best interest of the Castle Rock students, as many of them are transient and tend to move from school to school within Del Norte County. Castle Rock is estimating an additional (above current year revenues) \$250,000 expenditure on instructional materials in the 16/17 school year. In addition, in the 15/16 school year, Castle Rock was to pay it's contribution to the District for special education costs. This transfer of funds did not take place prior to the closing of the fiscal year. (See attached MOU on calculation of costs). This transfer would be considered instructional costs as it is for instructional services to Castle Rock students and would have increased the instructional expenditures by \$249,000.

3. Provide any other pertinent information that may assist the CDE and ACCS in conducting a detailed review or develop a reasonable basis for a recommendation.

Due to the fact that Castle rock has 1.19 million dollars of pending expenditures in the 2016--2018 school years on the above circumstances, Castle Rock is requesting a 2 year funding determination mitigation for 100% funding. Castle Rock has a long standing track record of maintaining 100% determinations of over 18 yrs. We shall resubmit for a new funding determination in 18/19 after all of these expenditures have occurred which will provide evidence of a 100% funding level justification. If you have any questions or need additional information or data, please contact Jeff Napier, Asst. Superintendent Business. Del Norte COE. 707-464-0202

F. Certification

	I hereby certify to the best of my knowledge and belief, that the information is true and correct.	
	_____ Signature of Charter School's Director, Principal, or Governing Board Chairperson	
	_____ Print Name of Charter School's Director, Principal, or Governing Board Chairperson	
	_____ Title of Authorized Individual	_____ Date