California Department of Education

Request for Qualifications (RFQ) CN220002

ATTACHMENT 3

# STAGE ONE: CONTRACTOR CERTIFICATION CLAUSES (CCC 04/2017)

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

| Certification |
| --- |
| Contractor firm name (printed): [Enter Contractor firm name] |
| Federal ID number: [Enter Federal ID number] |
| By (authorized signature): [Enter By (authorized signature)] |
| Print name and title of person signing: [Enter Print name and title of person signing] |
| Date executed: [Enter Date executed] |
| Executed in the County and State of: [Enter Executed in the County and State of] |

## CONTRACTOR CERTIFICATION CLAUSES

1. STATEMENT OF COMPLIANCE: Contractor has, unless exempted, complied with the nondiscrimination program requirements. (*Government Code* Section 12990 [a–f] and *California Code of Regulations*, Title 2, Section 11102) (Not applicable to public entities.)
2. DRUG-FREE WORKPLACE REQUIREMENTS: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:
3. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.
4. Establish a drug-free awareness program to inform employees about each of the following:
   * 1. The dangers of drug abuse in the workplace
     2. The person's or organization's policy of maintaining a drug-free workplace
     3. Any available counseling, rehabilitation, and employee assistance programs
     4. Penalties that may be imposed upon employees for drug abuse violations
5. Every employee who works on the proposed agreement will:
6. Receive a copy of the company's drug-free workplace policy statement.
7. Agree to abide by the terms of the company's statement as a condition of employment on the proposed agreement.

Failure to comply with these requirements may result in suspension of payments under the agreement or termination of the agreement, or both, and the contractor may be ineligible for award of any future state agreements if the California Department of Education determines that either of the following has occurred: the contractor (1) has made false certification; or (2) violated the certification by failing to carry out the requirements as noted above. (*Government Code* Section 8350 et seq.)

1. NATIONAL LABOR RELATIONS BOARD CERTIFICATION: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two-year period because of the contractor's failure to comply with an order of a federal court, which orders the contractor to comply with an order of the National Labor Relations Board. (*Public Contract Code* Section 10296) (Not applicable to public entities.)
2. CONTRACTS FOR LEGAL SERVICES $50,000 OR MORE—PRO BONO REQUIREMENT: Contractor hereby certifies that the contractor will comply with the requirements of Section 6072 of the *Business and Professions Code*, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full-time attorneys in the firm’s offices in the state, with the number of hours prorated on an actual-day basis for any contract period of less than a full year or 10 percent of its contract with the state.

Failure to make a good faith effort may be cause for nonrenewal of a state contract for legal services and may be taken into account when determining the award of future contracts with the state for legal services.

1. EXPATRIATE CORPORATIONS: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of *Public Contract Code* sections 10286 and 10286.1 and is eligible to contract with the State of California.
2. SWEATFREE CODE OF CONDUCT:
3. All contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that it adheres to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at <https://www.dir.ca.gov/> and *Public Contract Code* Section 6108.
4. Contractor agrees to cooperate fully in providing reasonable access to the contractor’s records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations, or the Department of Justice to determine the contractor’s compliance with the requirements under paragraph (a).
5. DOMESTIC PARTNERS: For contracts of $100,000 or more, the contractor certifies that the contractor is in compliance with *Public Contract Code* Section 10295.3.
6. GENDER IDENTITY: For contracts of $100,000 or more, the contractor certifies that the contractor is in compliance with *Public Contract Code* Section 10295.35.

## DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California:

1. CONFLICT OF INTEREST: Contractor needs to be aware of the following provisions regarding current or former state employees. If the contractor has any questions about the status of any person rendering services or involved with the agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (*Public Contract Code* Section 10410):

1. No officer or employee shall engage in any employment, activity, or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.
2. No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (*Public Contract Code* Section 10411):

1. For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements, or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.
2. For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If the contractor violates any provisions of the above paragraphs, such actions by the contractor shall render this agreement void. (*Public Contract Code* Section 10420)

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time, and payment for per diem. (*Public Contract Code* Section 10430 [e])

1. LABOR CODE/WORKERS' COMPENSATION: Contractor needs to be aware of the provisions that require every employer to be insured against liability for Workers’ Compensation or to undertake self-insurance in accordance with the provisions, and the contractor affirms to comply with such provisions before commencing the performance of the work of this agreement. (*Labor Code* Section 3700)
2. AMERICANS WITH DISABILITIES ACT: Contractor assures the state that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 *US Code* Section 12101 et seq.)
3. CONTRACTOR NAME CHANGE: An amendment is required to change the contractor's name as listed on this agreement. Upon receipt of legal documentation of the name change, the state will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.
4. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:
5. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.
6. "Doing business" is defined in *Revenue and Taxation Code* Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.
7. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.
8. RESOLUTION: A county, city, district, or other local public body must provide the state with a copy of a resolution, order, motion, or ordinance of the local governing body that, by law, has authority to enter into an agreement, authorizing execution of the agreement.
9. AIR OR WATER POLLUTION VIOLATION: Under the state laws, the contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the *Water Code* for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.
10. PAYEE DATA RECORD FORM STD. 204: This form must be completed by all contractors that are not another state agency or other governmental entity.

California Department of Education, April 2020