



Title I Parental Involvement Policies and Compacts

Title I Policy and Program Guidance Office

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Presentation Objectives

- Underscore why family engagement is important
- Familiarize educators and parents with federal and state requirements for parental involvement policies
- Provide tips for developing, evaluating, revising, and distributing parental involvement policies



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Federal Legislation: Parental Involvement Policies

Informal Title:

Elementary and Secondary
Education Act (ESEA) Section
1118 Parental Involvement (also
called Title I, Section 1118)

Official Citation:

20 United States Code (USC)
Section 6318 Parental
Involvement



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It Is Not Just the Law...

If parents are involved, students from all backgrounds tend to:

- earn higher grades and test scores;
- enroll in higher-level programs;
- be promoted and earn credits;
- adapt well to school and attend regularly;
- have better social skills and behavior; and
- graduate and go on to higher education.

(Henderson, Anne T., & Mapp, K.L. (2002). *A New Wave of Evidence: The Impact of School, Family and Community Connections on Student Achievement*)



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Overview: Parental Involvement Policies and Compacts

Every local educational agency (LEA) in California must have a parental involvement policy:

- Federal requirement (LEAs accepting Title I funds).
- State requirement (California *Education Code* [EC] for non-Title I schools).

20 USC § 6318 (a)(2); EC § 11500-11504, 51101(b)



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Overview: Parental Involvement Policies and Compacts (Cont.)

Each school that receives Title I funding must have:

- a school parental involvement policy, and
- a school-parent compact.

20 USC § 6318 (b)



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Overview: Parental Involvement Policies and Compacts (Cont.)

LEAs receiving more than \$500,000 in Title I funds must reserve a minimum of one percent of the funds for parental involvement activities.

20 USC § 6318 (a)(3)(A)



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Overview: Parental Involvement Policies and Compacts (Cont.)

Parents must be involved in how the funds reserved for parental involvement will be allocated for parental involvement activities.

- Keep minutes and sign-in sheets documenting these discussions.
- The California Department of Education (CDE) reviews the Consolidated Application and Reporting System (CARS) to see if the required reservation has been made.

20 USC § 6318 (a)(3)(B)



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Link to Federal Legislation

ESEA Section 1118 Parental Involvement is available on the U.S. Department of Education (ED) Web page at

<http://www2.ed.gov/policy/elsec/leg/esea02/pg2.html>.

Select Section 1118 to review or download these statutes.



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Link to State Legislation

EC sections 11502–11506 are available at the California Education Code (*EC*) Web page at

<http://codes.lp.findlaw.com/cacode/EDC>.

Type the applicable section(s) in the search engine to review or download these statutes.



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LEA Policy: Annual Evaluation

LEA parental involvement policies must be **annually** evaluated for:

- content, and
- effectiveness in improving the academic achievement quality of Title I schools, including **identifying barriers** to greater participation by parents.



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Annual Evaluation: Identifying Barriers to Participation

Title I specifies that in identifying barriers to parent participation, particular attention must be paid to:

- parents who are economically disadvantaged;
- parents who are disabled;
- parents who have limited English proficiency;
- parents who have limited literacy; and
- parents of any racial or ethnic minority background.

20 USC § 6318 (a)(2)(E)



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Policy Monitoring

State educational agencies (SEAs) are given the responsibility to monitor the parental involvement policies of Title I schools for compliance with federal law.



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Policy Monitoring (Cont.)

In California, the Federal Program Monitoring (FPM) items for monitoring parental involvement policies and compacts are the first two items in the Compensatory Education (CE) FPM instrument (CE-01 and CE-02).



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Policy Monitoring (Cont.)

- CE-01 District Policy (includes district parental involvement reservation and parent involvement in allocation decisions).
- CE-02 School Policy (includes school-parent compacts).



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Policy Monitoring (Cont.)

CE-01: LEA Parent Involvement Policy includes:

- 1.1 Joint policy development, distribution, and six ESEA (Title I) requirements. (See the following six slides.)



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LEA Policy: Six ESEA Content Items

Describes **how** the LEA:

- (a) Involves parents in the joint development of the LEA Plan and in the process of school review and improvement.



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LEA Policy: Six ESEA Content Items (Cont.)

Describes **how** the LEA:

- (b) Provides coordination, technical assistance, and other support to assist schools in planning and implementing effective parent involvement activities to improve student academic achievement and school performance.

20 USC § 6318 (a)(2)(B)



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Written LEA Policy: Six ESEA Content Items (Cont.)

Describes **how** the LEA:

(c) Builds school and parent capacity
for strong parental involvement.

20 USC § 6318 (a)(2)(C)



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LEA Policy: Six ESEA Content Items (Cont.)

Describes **how** the LEA:

- (d) Coordinates and integrates Title I, Part A parental involvement strategies with parental involvement strategies of other programs.

20 USC § 6318 (a)(2)(D)



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LEA Policy: Six ESEA Content Items (Cont.)

Describes **how** the LEA:

- (e) Conducts, with the involvement of parents, an annual evaluation of the content and effectiveness of the parental involvement policy in improving the academic quality of the schools served, including identifying barriers to greater participation by parents in Title I activities; uses the findings of the evaluation to design strategies for more effective parental involvement; and revises, if necessary, the Title I parental involvement policies.

20 USC § 6318 (a)(2)(E)



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LEA Policy: Six ESEA Content Items (Cont.)

Describes how the LEA:

(f) Involves parents in activities of schools served by Title I.

20 USC § 6318 (a)(2)(F)



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Policy Monitoring (Cont.)

CE-01: LEA Parent Involvement Policy includes:

- 1.2 Consistency with four California parental involvement goals and purposes. (See the following four slides.)



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LEA Policy: Four California *EC* Goals and Purposes

The policy must be consistent with the following goals and purposes:

- (a) Help parents develop skills to use at home that support their children's academic efforts and social development.

EC §11502 (a)



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LEA Policy: Four California *EC* Goals and Purposes (Cont.)

The policy must be consistent with the following goals and purposes:

- (b) Provide parents with techniques and strategies that they may utilize to improve their children's academic success and to assist their children in learning at home.



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LEA Policy: Four California *EC* Goals and Purposes (Cont.)

The policy must be consistent with the following goals and purposes:

- (c) Build consistent and effective communication between the home and the school so parents may know when and how to assist their children in learning at home.

EC §11502 (c)



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LEA Policy: Four California *EC* Goals and Purposes (Cont.)

The policy must be consistent with the following goals and purposes:

- (d) Train teachers and administrators to communicate effectively with parents.

EC §11502 (d)



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Policy Monitoring (Cont.)

CE 01: LEA Parent Involvement Policy includes:

- 1.3 Required parent involvement reservation (one percent)
- 1.4 Title I parent involvement in decisions about allocating the reservation



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Policy Monitoring (Cont.)

CE 02: School Parent Involvement Policy includes joint policy development, distribution, period updating of policy and:

2.1 School policy descriptions of the means for carrying out:

- Policy involvement
- Shared responsibility for High Student Academic Achievement
- Building Capacity for Involvement
- Accessibility



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Policy Monitoring (Cont.)

2.2 Involvement of parents in the policy (meeting, information, planning/review of program)

2.3 School-Parent Compact

2.4 Building Capacity

2.5 Accessibility



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Parental Involvement in Policies

Parents must be meaningfully involved as partners in all the work on Title I parental involvement policies and compacts—developing, evaluating, and revising. Title I statutes call this **joint development** of policies and compacts.

20 USC § 6318 (a)(1),(a)(2),(b)(1)



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Accessibility

LEAs and schools must provide full opportunities for participation of all Title I parents, including:

- parents with limited English proficiency;
- parents with disabilities; and
- parents of migratory children.

20 USC § 6318 (f)



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Accessibility (Cont.)

Information and school reports that are required to be distributed to Title I parents must be provided in a format and, to the extent practicable, in a language parents understand.



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Parental Involvement in Policies

- Keep minutes (not just agendas) and sign-in sheets documenting parental involvement in policy work and decisions on allocations.
- Be able to provide evidence of strategies for accessibility.
- The CDE reviews the CARS to see if the required reservation has been made.



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Policy Approval and Distribution

After policies are approved by the local governing board, the policies must be distributed to parents of Title I students. (Note: the fact that new parents come to schools each year necessitates that this is done annually).



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Ten Tips for Developing or Revising Your Parental Involvement Policies



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Tip 1. Gather resources.

- Current policy (district or school)
- Most recent CE FPM Instrument (includes policy requirements and citations)
- CDE sample policies and compacts
- CDE Family Engagement Framework
- National Framework for School-Family Partnerships
- Six Types of Involvement

(See the following slides for links to resources.)



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Resources

FPM Instrument

The FPM Instrument for the current and prior year Title I and CE programs is available on the CA Department of Education Compliance Monitoring Web page at

<http://www.cde.ca.gov/ta/cr/>.

Under the heading **Program Instruments**, select the year, then select **Compensatory Education (CE)**.



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Resources (Cont.)

Sample District Policies

LEA-level policy samples are available from the CDE Parent/Family Web page at

<http://www.cde.ca.gov/ls/pf/pf/>.

Policies

California School Boards Association [sample district policies related to parental involvement](#) [BP 6020](#) and [AR 6020](#)

[Federal legislation related to parental involvement](#)

[State Board of Education policy related to parental involvement](#)

[Sample Title I School-Level Parental Involvement Policy](#) (See also, School-Parent Compacts information, below)



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Resources (Cont.)

Sample School Policies

A school-level policy sample is also Available on the CDE Parent/Family Web page at

<http://www.cde.ca.gov/ls/pf/pf/>.

Under the **Policies** heading, select the link to **Sample School-level Title I Parental Involvement Policy**.



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Resources (Cont.)

School-parent Compact Sample and Resources

A School-parent compact sample and related resources are available on the CDE **Parent/Family** Web page at

<http://www.cde.ca.gov/ls/pf/pf/>.

Resources for the compact are found under the heading **School-Parent Compacts**.



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Resources (Cont.)

CDE Family Engagement Framework

The publication *Family Engagement Framework: A Tool for California School Districts* is available on the CDE Parent/Family Web page at <http://www.cde.ca.gov/ls/pf/pf/>.

English and Spanish versions are available under the heading **Resources**.



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Resources (Cont.)

National Framework for School-family Partnerships

The publication *Partners in Education: A Dual Capacity-Building Framework for School-Family Partnerships* is available on the ED Web page at

<http://www2.ed.gov/documents/family-community/partners-education.pdf>.



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Resources (Cont.)

Six Types of Involvement

The National Network of Partnership Schools flyer “*Six Types of Involvement: Keys to Successful Partnerships*” is available at the Johns Hopkins University Web site at

http://www.csos.jhu.edu/p2000/nnps_model/school/sixtypes.htm.



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Tip 2. Agendize the work—with Title I parents.

Make the parental involvement policy item (development, evaluation and/or revision) a standing annual item for a meeting or meetings involving Title I parents so it will not be forgotten.



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Tip 3. Reach out.

Reach out to all Title I parents to participate in policy decisions.



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Tip 4. Discuss the policy.

In the meetings, discuss the policy with parents and staff (reminder: save **minutes** of these discussions—not just agendas—including the date and the district/school name).



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Tip 5. Consider data.

When developing or evaluating the policy, study and discuss data to indicate the effectiveness (or potential, if the policy is new) of the policy in improving the academic quality of Title I schools.



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Tip 6. Make a list, check it twice.

Make sure the policy includes **all** the required elements listed on the FPM CE Instrument.



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Tip 6. Make a list, check it twice. (Cont.)

The sample policies provided on the CDE Parent/Family Web page were designed to include all federal and state policy requirements. If you use the samples, it is recommended that you augment, but do not take away from, the language in them (more on the school-family compact later).



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Tip 7. Keep good records.

Good housekeeping recommendations:

- Keep your parental involvement policy with your LEA Plan.
- Keep your school parental involvement policy and compact(s) with your Single Plan for Student Achievement (SPSA).
- Keep all meeting minutes and sign-in sheets related to the policies.



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Tip 8. Get it approved.

Make sure the written policy, in its current or revised form, is approved by the local governing board (keep the minutes of the meeting where this occurred).



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Tip 9. Distribute it to parents.

Disseminate the policy in its approved, most current form to all Title I parents (why not all parents?).



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Tip 10. Celebrate success; implement the policies.

- Thank the parents and staff who worked on the policy.
- Share the good news about your updated policy.
- Then implement it!



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Does Your LEA Parent Involvement Policy Meet Requirements?



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FPM Evidence to Upload: CE-01 LEA Parent Involvement Policy

- LEA Parent Involvement Policy (Board Policy [BP] 6020)
- Parent Involvement Administrative Regulations (Administrative Regulations [AR] 6020)
- Evidence of board adoption and policy distribution



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FPM Evidence to Upload: CE-01 LEA Parent Involvement Policy (Cont.)

- Agendas, minutes, and sign-in sheet(s) of committee meetings involving Title I parents where the policy was developed, evaluated, and revised
- Parent evaluation of parent involvement policy



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CE-01: Actual FPM Finding

“During a review of the documents uploaded, review of the annual parent notification documents, and interviews with staff, it was determined the **district-level parent involvement policy was not jointly developed with, distributed to parents of Title I students**, and parents were not involved in the allocation of the 1 percent reservation for the parent involvement activities.”



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CE-01: Actual Resolution

“To resolve this finding, the LEA must submit evidence that parents were involved in the development of the parent involvement policy and the allocation of the 1 percent reservation, and the parent involvement policy has been distributed to all parents of Title I, Part A students.”



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FPM Evidence to Upload: CE-02 School Parent Involvement Policy

- SPSA
- School Parental Involvement Policy
- School-Parent Compact



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FPM Evidence to Upload: CE-02 School Parent Involvement Policy (Cont.)

- Records of Title I activities for building capacity (workshops, training, school activities linked to learning, etc.)
- Meeting agendas and minutes about school parental involvement policy development, review, and updates



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CE-02 Actual Finding

“School-level parental involvement policies, for all sites reviewed, are missing elements CE-2.2 (c) and 2.4 (d) and (f). The school-parent compact (CE-2.3) is missing meeting minutes demonstrating how the compact was jointly developed and evidence of distribution to parents of Title I, Part A students.”



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CE-02 Actual Resolution

“To resolve this finding, the LEA must submit evidence demonstrating that school–level parental involvement policies include all required elements and that the school–parent compacts have been jointly developed with and distributed to parents.”



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Actions Speak Louder

- Having a parental involvement policy is compliance. Compliance is required, for a reason.
- Fully implementing the policy engages families in the education of their children.
- Research clearly shows the benefits of family engagement to students is substantial.



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Questions?

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