



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION

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THE ASSISTANT SECRETARY
ASSESSMENT AND ACCOUNTABILITY
BRANCH

Sue Burr
Executive Director
California State Board of Education
1430 N Street
Sacramento, CA 95814

Deborah Sigman
Deputy Superintendent
California Department of Education
1430 N Street
Sacramento, CA 95814

Dear Ms. Sigman and Ms. Burr:

On August 12, 2011, I invited State educational agencies (SEAs) to request a waiver to permit them to allow, in accordance with criteria they develop, a local educational agency (LEA) that is implementing a transformation model with School Improvement Grant (SIG) funds to have additional time to meet the teacher and principal evaluation requirement in section I.A.2(d)(1)(i)(B) of the SIG final requirements. Through a letter sent on September 22, 2011, California has requested such a waiver.

After reviewing the materials that California provided in support of its request, I am pleased to grant the requested waiver for schools that began implementing the transformation model during the 2010-11 school year (cohort 1). I am granting this waiver because I believe that California has provided sufficient assurances, through its request, that the waiver will enable qualifying LEAs in California to meet the SIG final requirements while encouraging the development and implementation of high-quality teacher and principal evaluation systems that will increase the quality of instruction for students and improve the academic achievement of students.

As you know, this waiver would apply only to evaluation systems for cohort 1 SIG schools, as follows:

A school that began implementing the transformation model during the 2010–2011 school year (cohort 1) and that was not able to complete the development and implementation of its evaluation systems during that year must develop them during the 2011–2012 school year and, at a minimum, pilot them for all teachers and principals no later than the 2012–2013 school year. The piloted systems should produce valid and reliable data that may be used for decisions regarding, for example, retention, promotion, compensation, and rewards, no later than the 2013–2014 school year.

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The Department of Education's mission is to promote student achievement and preparation for global competitiveness by

I am granting this waiver on the condition that California will satisfy the conditions set forth below. In particular, in exchange for this waiver of the implementation timeline for the evaluation systems requirements of the transformation model, California must:

- Develop criteria that:
 - California will use to evaluate LEA requests for timeline extensions, including by evaluating whether an LEA has demonstrated sufficient commitment to, and progress in, implementing principal and teacher evaluation systems for its cohort of schools to justify the receipt of a timeline extension and whether, if an extension is granted, the LEA will be able to meet the timelines described above for developing and implementing such systems; and
 - Enable California to distinguish among LEAs that have met the requirements, those that are making sufficient progress toward meeting the requirements, and those that have not made a good-faith effort to meet the requirements.
- Approve an LEA request to implement the waiver only if California determines, based on its criteria, that the LEA warrants an extension of the evaluation systems timeline.
- Develop a technical assistance and support plan that outlines how California will differentiate support to LEAs based on their current level of implementation and will provide LEAs with the assistance they need to meet the evaluation system requirements (e.g., by assisting LEAs in selecting observational rubrics, developing student growth metrics, disseminating guidance for developing student learning outcomes, and training raters).
- Develop a monitoring plan for the 2011–2012 school year, specifically for the LEAs that receive timeline extensions, that will help ensure that the LEAs are on track to pilot the required evaluation systems no later than the 2012–2013 school year.
- Within 30 days of receiving the waiver from the U.S. Department of Education (Department), post on its public Web site the criteria, process, and timeline for reviewing an LEA's extension request.
- Within 30 days of California approving LEA extension requests, post on its public Web site and submit to the Department (via e-mail to school.improvement.grants@ed.gov) the names of the LEAs (including their NCES District Identification Number) for which it has approved a timeline extension and the schools (including their NCES School Identification Number) within those LEAs that will benefit from the extension, including an indication of the cohort to which each school belongs.
- Determine what action it will take with respect to LEAs that have not made a good-faith effort to meet the evaluation system requirements.

If California does not comply substantially with the conditions of this waiver, then the Secretary may terminate the waiver after providing notice and an opportunity for a hearing, in accordance with ESEA section 9401(f). If, as a result of the termination of the waiver, the SEA or its LEAs are unable to comply substantially with the SIG final requirements, the Department will take appropriate enforcement action, which may include initiating withholding proceedings.

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I appreciate the work you are doing to improve your schools and provide a high-quality education for your students. If you have any questions, please contact Michael Wells at michael.wells@ed.gov or (202) 245-7587.

Sincerely,

A handwritten signature in black ink, appearing to read 'M. Yudin', with a long horizontal flourish extending to the right.

Michael Yudin
Acting Assistant Secretary
Office of Elementary and Secondary
Education