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# 2021–22 Every Student Succeeds Act Comprehensive Support and Improvement County Office of Education Plan Development and Implementation Support Application for Funding

**Due: February 28, 2022**

## Application Overview

**Purpose**

Consistent with the California statewide system of support’s focus on increasing the capacity of local educational agencies (LEAs) to meet the needs of all students, the Budget Act of 2021 appropriated $5 million of Every Student Succeeds Act (ESSA), Section 1003 funds to county offices of education (COEs) for the purposes of supporting development and implementation of 2022–23 Comprehensive Support and Improvement (CSI) plans in coordination with the statewide system of support for LEAs established in the California State Plan for the federal ESSA.

**The California Department of Education (CDE) is accepting applications from COEs with an LEA or LEAs in their county that serve schools eligible for CSI based on the 2021–22 ESSA Assistance Status Data Files.**

The 2021–22 ESSA Assistance Status Spreadsheet is located at <https://www.cde.ca.gov/sp/sw/t1/essaassistdatafiles.asp>.

### Statutory Authority

ESSA Section 1003–School Improvement (<https://go.usa.gov/xfAWr>), pages 9 through 11.

ESSA Section 1111(d)(1)–Comprehensive Support and Improvement (<https://go.usa.gov/xfAC2>), pages 33 through 35.

Senate Bill 170 Budget Act of 2021 Item 6100-134-0890 Schedule 1 is located at <https://leginfo.legislature.ca.gov/faces/billNavClient.xhtml?bill_id=202120220SB170>.

### Subgrant Information

The Budget Act of 2021 appropriated $5 million in fiscal year (FY) 2021 ESSA, Section 1003 funding to COEs for the purposes of supporting development and implementation of 2022–23 CSI plans in coordination with the statewide system of support for LEAs established in the California State Plan for the federal ESSA. This application covers a subgrant period beginning March 14, 2022, and ending September 30, 2023. FY 2021 ESSA, Section 1003 funding is available to each eligible COE that submits an approvable application and is allocated based on a formula. The formula takes into consideration the total number of schools, statewide, that are eligible for CSI based on the 2021–22 ESSA Assistance Status Data Files.

**Eligibility Requirements**

An applicant must be a COE with at least one LEA in its county that serves schools eligible for CSI based on the 2021–22 ESSA Assistance Status Data Files.

In 2021, California received a federal waiver and was not required to report performance levels (or colors) on the 2021 California School Dashboard. Because these data are not available, determination of exit from the CSI–Lowest Performing Schools is not possible. Therefore, schools designated in either category in 2019–20 remain in place through 2021–22. However, LEAs were required to report graduation rate data which California used to determine if those schools designated as CSI–Low Graduation Rate in 2019–20 were eligible to exit. Schools with a three-year (i.e., 2018–19, 2019–20, and 2020–21 school years) average graduation rate at or above 68 percent exited the CSI–Low Graduation Rate Schools category.

The 2021–22 ESSA Assistance Status Spreadsheet is located at <https://www.cde.ca.gov/sp/sw/t1/essaassistdatafiles.asp>.

CSI criteria and program information are located at <https://www.cde.ca.gov/sp/sw/t1/csi.asp>.

### Allowable Activities and Costs

Regardless of whether or not the COE elects to accept funding authorized under this application, the COE is expected to offer CSI plan development and implementation support to its LEAs with schools eligible for CSI. FY 2021 ESSA, Section 1003 funds for CSI must be used by the COE to support LEA development and implementation of the 2022–23 CSI plans.

COE CSI plan development and implementation support activities for LEAs must be coordinated through the statewide system of support and where the LEA with a school or schools eligible for CSI is also receiving Differentiated Assistance, CSI support activities should be integrated as much as is reasonable and practicable. The goal is to ensure as much alignment between state and federal accountability as possible while also ensuring that CSI plans address the reasons and underlying root causes of eligibility.

School planning and LEA assistance for each school that meets the criteria for CSI will be incorporated into the 2022–23 Local Control and Accountability Plan (LCAP) and School Plan for Student Achievement (SPSA) processes. COEs must use their FY 2021 ESSA, Section 1003 funds to provide CSI plan development and implementation technical assistance and support to each LEA in its county that serves schools that meet the criteria for CSI. Single School Districts and Charter schools may use the LCAP to meet CSI planning requirements. School districts must use the SPSA process to meet CSI planning requirements.

Under the ESSA, CSI plans are required to be evidence-based. Section 8101(21)(A) of the Elementary and Secondary Education Act, as amended by the ESSA, defines an evidence-based intervention as being supported by *strong evidence, moderate evidence, promising evidence,* or evidence that *demonstrates a rationale.* ESSA, Section 1003 funds require the use of “evidence-based” interventions that meet higher levels of evidence. The table below lists ESSA’s top three levels of evidence.

**Demonstrate a statistically significant effect on improving student outcomes:**

| Evidence Level | Description |
| --- | --- |
| Level 1: Strong Evidence | Based on at least one well-designed and well-implemented experimental study |
| Level 2: Moderate evidence | Based on at least one well-designed and well-implemented quasi-experimental study |
| Level 3: Promising Evidence | Based on at least one well-designed and well-implemented correlational study with statistical controls for selection bias |

The U.S. Department of Education’s non-regulatory guidance on evidence-based interventions is located at <https://go.usa.gov/xdcEU>.

Consistent with California's system of support as described at <https://www.cde.ca.gov/sp/sw/t1/csss.asp> to help LEAs and their schools meet the needs of each student they serve, with a focus on building local capacity to sustain improvement and to effectively address disparities in opportunities and outcomes, the COE must use its FY 2021 ESSA, Section 1003 funding to:

* Build LEA capacity to support their schools through meaningful engagement in the continuous improvement process, including CSI technical assistance and support related to the following CSI plan development and implementation activities:
  + Partnering with stakeholders
  + Conducting needs assessments and root cause analysis
  + Selecting and implementing evidence-based interventions/strategies/activities
  + Using data and outcomes to monitor and evaluate improvement efforts
  + Reviewing/identifying and addressing, through implementation of the CSI plan, resource inequities, which may include a review of LEA- and school-level budgeting

CSI plan instructions for the 2022–23 LCAP and SPSA are located at <https://www.cde.ca.gov/re/lc/>.

CSI program requirements and information are located at <https://www.cde.ca.gov/sp/sw/t1/csi.asp>.

### Disallowable Activities and Costs

The use of federal funds, including FY 2021 ESSA, Section 1003 funds must be consistent with the Office of Management and Budget’s (OMB) Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. OMB information is located at <https://www.grants.gov/web/grants/learn-grants/grant-policies/omb-uniform-guidance-2014.html>.

For federal guidance on Supplement not Supplant for school improvement, see question 29a, pages 21 to 22 located at <https://go.usa.gov/xdcPV>.

Note: Reported expenditures are used for the purpose of calculating the COE’s apportionment. The use of federal funds must be consistent with the OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; ESSA requirements; and requirements in the 2021‒22 ESSA CSI COE Plan Development and Implementation Support Application for Funding.

### Subgrant Reporting Requirements

Actual expenditures for each performance period within the grant period shall be reported to the CDE as part of regular grant management and administration and monitoring. The table below details reporting information and timelines for FY 2021 ESSA, Section 1003 funds for the purposes of supporting development and implementation of 2022–23 CSI plans in coordination with the statewide system of support for LEAs established in the California State Plan for the federal ESSA.

| Report Name | Reporting Data | Performance Period | Reporting Due Date |
| --- | --- | --- | --- |
| Report 1 | * Budget Revisions (BR) * Expenditures (E) | March 14, 2022, to June 30, 2022 | July 15, 2022 (BR) July 31, 2022 (E) |
| Report 2 | * Budget Revisions (BR) * Expenditures (E) | July 1, 2022, to September 30, 2022 | October 15, 2022 (BR) October 31, 2022 (E) |
| Report 3 | * Budget Revisions (BR) * Expenditures (E) | October 1, 2022, to January 31, 2023 | February 15, 2023 (BR) February 28, 2023 (E) |
| Report 4 | * Budget Revisions (BR) * Expenditures (E) | February 1, 2023, to June 30, 2023 | July 15, 2023 (BR) July 31, 2023 (E) |
| Final Report andGrant Evaluation | * Budget Revisions (BR) * Expenditures (E) * Grant Performance Report (GPR) | July 1, 2023, to September 30, 2023 | October 15, 2023 (BR) October 31, 2023 (E and GPR) |

COE expenditures will be submitted for each required report. When expenditure amounts claimed for object codes are in excess of 10 percent of the last approved budget, a project budget revision request is required to be submitted. Budget revision requests require CDE approval and are due no later than 15 business days prior to the expenditure reporting due date. Budget revision requests must be submitted using the Grant Management and Reporting Tool (GMART) at <https://www3.cde.ca.gov/gmart/gmartlogon.aspx>.

In addition to expenditure data, the Final Report must include a Grant Performance Report. The CDE will provide additional guidance on the final reporting requirements by spring 2022. If the CDE does not receive the required reports, funding may be delayed or the CDE may bill the COE to recover funds already allocated.

### Apportionments

The first FY 2021 ESSA, Section 1003 apportionment is 25 percent of the total COE allocation and is based on an approved 2021‒22 ESSA CSI COE Plan Development and Implementation Support Application for Funding. Subsequent apportionments for each subgrant performance period are based on claimed expenditures less prior cumulative payments.

The acceptance and approval of reported expenditures do not preclude the CDE in any way from conducting program monitoring or audits.

The CDE will process apportionments after the final date of each reporting period. The COEs can expect to receive apportionments approximately two months after the reporting period closes.

**Application and Funding Results Timeline**

| Activity | Due Date |
| --- | --- |
| Funding Profile Posted to the CDE Web Page | December 2021 |
| The 2021–22 ESSA Assistance Status Spreadsheet available (*indicate CSI high schools that exited CSI low-graduation status)* | Early 2022 |
| 2021–22 ESSA CSI COE Plan Development and Implementation Support Application for Funding Release Date | February 7, 2022 |
| 2021–22 ESSA CSI COE Plan Development and Implementation Support Application for Funding Webinar | February 9, 2022, at 10 a.m. |
| 2021–22 ESSA CSI COE Plan Development and Implementation Support Application for Funding Due to the CDE | February 28, 2022, by 4 p.m. |
| 2021–22 ESSA CSI COE Plan Development and Implementation Support Application for Funding Review by CDE Staff | March 2022 |
| Funding Results and Schedule of Apportionments Posted to the CDE Web Page | April/May 2022 |

### Technical Assistance

The CDE will conduct a guidance webinar to support the completion of the 2021–22 ESSA CSI COE Plan Development and Implementation Support Application for Funding. The webinar will be conducted on February 9, 2022, at 10 a.m. Communications regarding the webinar will be emailed to eligible COEs prior to the webinar.

### Application Process

The 2021–22 ESSA CSI COE Plan Development and Implementation Support Application for Funding is a web-based application that includes five sections: (1) General Assurances, Certifications, Terms, and Conditions; (2) COE Applicant Information; (3) Narrative Response; (4) Project Budget; and (5) Signatures and Submission.

In order to receive FY 2021 ESSA, Section 1003 funding, each eligible COE must complete and submit the 2021–22 ESSA CSI COE Plan Development and Implementation Support Application for Funding to the CDE by the date specified in the Application and Funding Results Timeline. The COE will receive email confirmation upon submission. The CDE will review each COE application.

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## Section 1: General Assurances, Certifications, Terms and Conditions

General assurances, certifications, terms, and conditions are requirements of applicants and sub-recipients as a condition of receiving funds. The signed subgrant application submitted to the California Department of Education (CDE) is a commitment to comply with the general assurances, certifications, terms, and conditions associated with the subgrant.

### General Assurances and Certifications

The general assurances and certifications are available on the CDE Funding Forms web page at <https://www.cde.ca.gov/fg/fo/fm/generalassurances2020.asp>.

Applicants do not need to sign and return the general assurances and certifications with the subgrant application. Instead, applicants must download the general assurances and certifications to keep them on file and available for compliance reviews, complaint investigations, or audits.

### Terms and Conditions

The 2021–22 Every Student Succeeds Act (ESSA) Comprehensive Support and Improvement (CSI) County Office of Education (COE) Plan Development and Implementation Support Application for Funding must be electronically signed by the authorized designee of the COE and submitted to the CDE using the web-based application.

The COE must ensure that fiscal year 2021 ESSA, Section 1003 funds are spent as indicated in this application, and agree that funds will be used only to provide technical assistance and support to local educational agencies (LEA) for the purposes of supporting development and implementation of 2022–23 CSI plans in coordination with the statewide system of support for LEAs with schools eligible for CSI.

Regardless of whether or not the COE elects to accept funding authorized under this application, the COE agrees to offer CSI plan development and implementation support to its LEAs with schools eligible for CSI.

In addition, the COE agrees that it will not increase its allotment under this application with ESSA, Section 1003 funds received by the COE for the purposes of review and approval of 2022–23 CSI plans through the CSI prompts in the LEA 2022–23 Local Control and Accountability Plan.

## Section 2: County Office of Education Applicant Information

XYZ County  
Preliminary Fiscal Year (FY) 2021 Allocation Amount: $$$  
County/District Code 00 00000  
National Center for Educational Statistics (NCES): XXXXXXX   
DUNS: XXXXXXXX

The California Department of Education (CDE) will prepopulate the county office of education (COE) contact information above. In order to proceed, the COE will be required to confirm the accuracy of this information. If the information is correct, the COE will be allowed to enter the contact information required below. If the prepopulated information is incorrect, the COE will be instructed to contact the School Improvement and Support Office for assistance at 916-319-0833.

Applicant Information can be verified on the California School Directory web page at <https://www.cde.ca.gov/schooldirectory/>.

The Preliminary FY 2021 Allocation Amount for the COE can be verified on the CDE Comprehensive Support and Improvement COE Fiscal Information web page at <https://www.cde.ca.gov/fg/fo/r16/csicoefundingresults.asp>.

COEs can verify their Data Universal Numbering System (DUNS) number through the Online Public Update for Schools web page located at <https://www3.cde.ca.gov/opuscds/default.aspx>.

COEs will provide the following contact information for the Primary, Secondary, and Fiscal Coordinators. If the COE only has a Primary Grant Coordinator, please duplicate that information for the Secondary Grant Coordinator.

* First and Last Name
* Title
* Phone
* Extension
* Email
* Fax

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## Section 3: Narrative Response

**Prompt:** Describe how the county office of education will provide technical assistance and support to local educational agencies with their 2022–23 Comprehensive Support and Improvement plan development and implementation activities. **(Max** **2000 characters)**

## Section 4: Project Budget

### Instructions for Completing the 2021–22 Proposed Project Budget

A proposed project budget is required as part of this application. The fiscal year (FY) 2021 subgrant funds must support the requirements of the subgrant. All expenditures must be allowable activities and costs as outlined in the 2021–22 Every Student Succeeds Act Comprehensive Support and Improvement County Office of Education (COE) Plan Development and Implementation Support Application for Funding. The 2021–22 Proposed Project Budget must be approved by the California Department of Education (CDE).

**Note:** Final COE allocations will be determined by the first reporting period. At that time, the COE will be required to revise its 2021–22 Project Budget according to the final funding results.

**Grantee Name and Preliminary FY 2021 Allocation Amount:** The name of the COE and preliminary FY 2021 allocation amount is prepopulated for your convenience.

**Object Code:** Section 41010 of the Education Code requires local educational agencies to follow the definitions, instructions, and procedures in the California School Accounting Manual (CSAM). The CSAM Object Codes and Definitions can be found on the CDE CSAM PDF located at <https://www.cde.ca.gov/fg/ac/sa/documents/csam2019complete.pdf> on pages 330-2 through 330-13.

**Amount**: Enter the total amount budgeted for each Object Code. Do not leave any fields blank. Enter $0.00 if the COE does not have a budgeted amount in an Object Code. All proposed costs must directly align to and fully support the allowable use of funds described in this application.

**Expenditure Justification**: Provide an expenditure justification for all proposed expenditures within the following Object Codes. The justifications should directly align to the allowable use of funds described in this application and include how the costs are necessary and reasonable to execute the subgrant requirements:

* 1000s—List the Certificated Personnel title(s), Full Time Equivalent (FTE), and amount funded
* 2000s—List the Classified Personnel title(s), FTE, and amount funded
* 3000s—List the title(s) of Certificated and/or Classified Personnel receiving benefits
* 4000s—List Books and Supplies
* 5000s—List Services and Other Operating Expenditures

**Indirect Cost Rate**: For the 2021–22 indirect cost rates, use the CDE’s approved indirect cost rates found on the CDE Indirect Cost Rates web page at <https://www.cde.ca.gov/fg/ac/ic/>.

**Sub-agreements over $25,000**: Indirect cost guidelines allow that up to $25,000 of each individual sub-agreement may be coded to Object Code 5800, Professional/Consulting Services and Operating Expenditures, with the remainder charged to Object Code 5100—Sub-agreements over $25,000.

**Total Budget Amount**: The Total Budget Amount must match the FY 2021 Preliminary Allocation Amount.

| **County Office of Education** | **FY 2021 Preliminary Allocation Amount** |
| --- | --- |
| [COE name] | [$0.00] |

| **Object Code** | **Amount** | **Expenditure Description** |
| --- | --- | --- |
| 1000–1999 Certificated Personnel Salaries | [$0.00] | [Justification narrative] |
| 2000–2999 Classified Personnel Salaries | [$0.00] | [Justification narrative] |
| 3000–3999 Employee Benefits | [$0.00] | [Justification narrative] |
| 4000–4999 Books and Supplies | [$0.00] | [Justification narrative] |
| 5000–5999 Services and Other Operating Expenditures | [$0.00] | [Justification narrative] |
| 7310 Indirect Cost (2019–20 Indirect Cost Rate) | [$0.00] | n/a |
| 5100 (Sub-agreements over $25,000) | [$0.00] | [Justification narrative] |
| **Total Budget Amount** | [$0.00] | n/a |

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## Section 5: Signatures

### ASSURANCES/CERTIFICATIONS/TERMS/CONDITIONS

**The following statements must be acknowledged:**

* As the duly authorized designee of the applicant, I have read all assurances, certifications, terms, and conditions associated with the 2021–22 Every Student Succeeds Act Comprehensive Support and Improvement County Office of Education (COE) Plan Development and Implementation Support Application for Funding and I agree to comply with all requirements as a condition of funding.
* I certify that all applicable state and federal rules and regulations will be observed and that to the best of my knowledge, the information contained in this application is correct and complete.

### SIGNATURE

By signing this application electronically, the County Office Superintendent or authorized designee, agree that their electronic signature is the legally binding equivalent to their handwritten signature. The COE will provide the following information:

* COE Name
* First and Last Name
* Title
* Date
* Email
* Phone Number

The California Department of Education is not able to modify the application information after submission. Incomplete or late applications may delay funding.