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For more information regarding the content of this material, please contact the Charter Schools Division by phone at 916-322-6029 or by email at charters@cde.ca.gov.

Nonclassroom-Based Funding Determination Form - Fiscal Year 2022-23

Information collected on this form is pursuant to *California Code of Regulations*, Title 5 (5 CCR). Instructions for completing this form can be found on the California Department of Education (CDE) website at <https://www.cde.ca.gov/sp/ch/nclrbifunddet.asp>.

Users should download and save the PDF prior to entering data into the form. The recommended program for completing the form is Adobe Acrobat Reader DC. Completing the form using the web browser may result in errors.

Section I. Charter School Information (Complete fields 1-18) 5 CCR 11963.3(a)(1) to (4)

1. Charter School Name Excel Academy Charter School
2. Charter School Authorizer Warner Unified School District
3. Charter School Number 2053 4. CDS Code 37754160139386
5. Street Address 1 Technology Drive, Bldg. I Suite 811
6. City Irvine 7. County Orange 8. Zip Code 92618
9. Contact Name Joe Sorrera 10. Title Director of Business Services
11. Phone Number 951-768-2380 ext. 12. Email jsorrera@excelacademy.education
13. Grade Levels Served TK-12 14. Date Charter Expires (MM/DD/YYYY) 06/30/2024
15. Funding Level Requested (Select one) 100% 85% 70%
16. Years Requested (Select one) 2 3 4 5
17. Funding Determination Period Requested FY 2023-24 to 2027-28
18. Charter School Deadline - Select one
 - Due Date: 12/1/22 To be heard at the March State Board of Education (SBE) meeting
 - Due Date: 2/1/23 To be heard at the May SBE meeting
 - Other Funding Determination (Specify in Section VI.3) Source Data FY 2021-22

- For an existing charter school that does **not** have an active funding determination, please use current-year budget data as the source data to complete the form.
- For an existing charter school with a funding determination that expires at the end of FY 2022-23, use FY 2021-22 audited financial data.
- If an existing charter school with a funding determination misses the February 1 deadline, the governing board of the charter school's authorizing local educational agency will need to request a waiver to submit a late funding determination request. The SBE may approve such waivers under the general authority, under California *Education Code (EC)* sections 33050-33053. Additional information regarding the waiver process is located on the CDE Waivers web page at <https://www.cde.ca.gov/re/lr/wr>.

Section II. Financial Information (Complete sections A, B, D, and E)

A. Total Resources (Complete lines A.1.a to A.1.d)

1. Revenues and Other Resources	5 CCR 11963.3(a)(5)(A) and (6)
a. Federal Revenues	1315216.00000000
(i) Enter amount of Public Charter Schools Grant Program included under Federal Revenues (Line A.1.a)	_____
b. State Revenues	13735877.00000000
c. Local Revenues	0.00000000
d. Other Financing Sources	287463.00000000
e. Total Revenues (Sum of lines A.1.a to A.1.d)	15338556.00000000

B. Total Expenditures and Other Uses (Complete lines B.1 to B.4)

1. Instruction and Related Services	5 CCR 11963.3(a)(5)(B) and (6)
a. Salaries and Benefits	
(i) Certificated	7367987.00000000
(ii) Classified	289176.00000000
b. Books, Supplies, and Equipment	1416635.00000000
c. Services and Other Operating Costs	
(i) Contracts for Instructional Services	1803669.00000000
(ii) Contracts for Instructional Support	700580.00000000
(iii) All Other Instruction Related Operating Costs	886857.00000000
d. Total Instruction and Related Services	12464904.00000000
2. Operations and Facilities	5 CCR 11963.3(a)(5)(C) and (6)
a. Salaries and Benefits	
(i) Certificated	_____
(ii) Classified	_____
b. Books, Supplies, and Equipment	_____
c. Services and Other Operating Costs	_____

B. Total Expenditures and Other Uses (Complete lines B.1. to B.4), continued

d. Facility Acquisition and Construction _____

e. Total Operation and Facilities

f. Allowable Facility Costs

(i) Enter the total facility square footage occupied by the charter school _____ sqft.

(ii) Enter the total Classroom-Based P-2 ADA reported in the prior FY. DO NOT INCLUDE NCB ADA _____

(iii) Enter the total Student Hours attended by the NCB pupils at the school site in the prior FY _____

(iv) Calculated Facilities Costs Lesser of line B.2.e or [(B.2.fii+(B.2.fiii/868)]*1000

Allowable (Lesser of line B.2.e or B.2.fiv)

3. Administration and All Other Activities 5 CCR 11963.3(a)(5)(D) and (6)

a. Salaries and Benefits

(i) Certificated _____

(ii) Classified

b. Books, Supplies, and Equipment

c. Services and Other Operating Costs

(i) Contracts for Other Administrative Services

(ii) Supervisorial Oversight Fee

(iii) All Other Administration and Other Activities, Services and Operating Costs _____

d. Total Administration and Other Activities

4. Other Outgo and Other Financing Uses 5 CCR 11963.3(a)(5)(E) and (6)

a. Debt Service _____

b. Transfers to local educational agencies _____

c. All Other Transfers and Outgo _____

Note - This must not be a negative value.

d. Total Other Outgoing and Other Financing Uses

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B. Total Expenditures and Other Uses, continued

5. Total Expenditures 13014512.00000000
(Sum of lines B.1.d, B.2.e, B.3.d, and B.4.d)

C. Revenues Over Expenditures - Surplus or (Deficit)

(Line A.1.e minus Line B.5) 2324044.00000000

D. Fund Balance (Complete line D.a)

a. Enter Beginning Fund Balance (July 1) 5 CCR 11963.3(a)(5)(A) 499798.00000000
b. Ending Fund Balance - June 30 (Line C plus Line D.a) 2823842.00000000

E. Reserves (Complete lines E.a. to E.e)

If reserves in line E.a or E.b are more than \$50,000 or over 5% of total expenditures, provide an explanation in Section III.6, pursuant to 5 CCR 11963.3(a)(5)(F).

	% of Expenditures	
a. Designated for Economic Uncertainties	0.14999994	1952176.00000000
b. Facilities Acquisition or Capitol Projects	0.00000000	
c. Reserves Required by Charter Authorizer	0.00000000	
d. Other Reserves (Explain in Section III.5)	0.05109573	664986.00000000
e. Unassigned/Unappropriated Fund Balance	0.01588073	206680.00000000
f. Total (Sum of lines E.a to E.e)	0.21697640	2823842.00000000

Note - Line E.f must agree with Line D.b

Section III. Supplemental Information (Complete lines 1 through 8)

1. Pupil to Teacher Ratio (PTR), pursuant to EC Section 51745.6 and 5 CCR Section 11704

a. Enter the charter school's PTR: 0.00:1 17.38
b. If the charter school's PTR in line III.1.a exceeds 25:1, enter the name of the largest unified school district in the county or counties in which the charter school operates:

c. Enter the PTR for the unified school district listed on line III.1.b: 0.00:1

2. Did any entity receive \$50,000 or more OR 10% or more of total expenditures (Line B.5) in the FY 2021-22 OR will receive in the FY 2022-23? (5 CCR 11963.3[b][3]) Yes
 No

If yes to line III.2, list the name of each entity and the cumulative amount received by each entity in Box 2.a on Page 5. Are contract payments made by the charter school based on specific services rendered or upon an amount per unit of average daily attendance (ADA) or some other percentage of the charter school's revenues, enrollment, etc? If yes, identify on Page 5 Box 2.a.

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2.a Provide information regarding entity and contract information below. Attach an extra sheet if necessary.				
Name of Entity	Amount	Purpose/Explanation	Are contract payments based on specific services rendered?	If no, are payments based on amount per ADA or some other percentage?
Apple Inc.	82135.0000000	Instructional Materials and Services	Yes	
Rainbow Resources Center Inc.	194431.000000	Instructional Materials and Services	Yes	
Amazon Capital Services Inc.	402803.000000	Instructional Materials and Services	Yes	
Warner Unified School District	183191.000000	Two years of oversight fees	Yes	
The Collaborative Charter Services Organization	1720816.00000	H&W benefit reimb, Employee FSA reimb., Back office Services, Financial system	Yes	
Connect Your Care - FSA	115475.000000	Employee Flexible Spending Plan Reimbursements	Yes	
SchoolFirst	138427.000000	Employee deduction and Employer match for 457/403b plan	Yes	
Motivated Youth Academy	78827.0000000	Health and Welfare billbacks	Yes	
Writtenburg Door	69393.0000000	Instructional Materials and Services	Yes	
Philadelphia Insurance Companies	58925.0000000	Property and Liability Insurance	Yes	
The Irvine Company	50569.0000000	Office Space	Yes	

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3. List the charter school's CURRENT governing board pursuant to 5 CCR Section 11963.3(b)(4).

Name and Title of Board Member	Board Member Type (Parent, teacher, etc)	How was this member selected?	Is the member affiliated in any way with any entity listed in Section III.2?	Board Member Term (From MM/YY to MM/YY)
William Hall	President	Appointment	No	9/20-11/25
Michael Humphrey	Vice-President	Appointment	No	9/20-11/25
Steve Fraire	Clerk	Appointment	No	9/20-9/23
Susan Houle	Member	Appointment	No	9/20-9/23
Larry Alvarado	Member	Appointment	No	11/20-11/25

Has the governing board adopted and implemented conflict of interest policies and procedures? Yes No

For any governing board member identified as affiliated with any entity reported above in Section III.2, explain the nature of the affiliation below. Attach an extra sheet if necessary.

4. If transfers are reported on lines B.4.b or B.4.c, describe the nature of the transactions and identify the accounts or entities involved in the transfers pursuant to 5 CCR Section 11963.3(b)(5).

B.4.b

B.4.c

5. If "Other Reserves" are reported on line E.d, explain the purpose for these reserves.

Reserves in Line E.d

These are restricted ending balance reserves for state categorical programs.

E.d

6. If reserves reported on line E.a (designated for economic uncertainties) OR E.b (facilities acquisition or capital projects) exceed the greater of \$50,000 or 5% of total expenditures, explain the need for such excess reserves.

Provided under separate cover.

E.a

Percentage

E.b

Percentage

7. Enter the average daily attendance (ADA).

FY 2021-22 P-2 ADA (0.0)	1,229.17	FY 2022-23 P-2 ADA (0.0)	1,047.09
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8. Enter the full-time equivalent (FTE) employees working at the charter school that possess a valid teaching certificate, permit, or other document equivalent to that which a teacher in other public schools would be required to hold issued by the Commission on Teacher Credentialing and who work in the charter school in a position required to provide direct instruction or direct instructional support to students, pursuant to 5 CCR Section 11963.3(b)(8).

FY 2021-22 FTE (0.0)	74.98	FY 2022-23 FTE (0.0)	61.47
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Section IV. Nonclassroom-Based Virtual or On-line Charter Schools (Complete lines 1 and 2)

1. Is this charter school a virtual or on-line charter school as defined in 5 CCR Section 11963.5? (A virtual or on-line charter school is one in which at least 80% of teaching and student interaction occurs via the Internet.) Yes No

2. If yes to line IV.1, can the charter school demonstrate compliance with 5 CCR sections 11963.5(b)(2) to (8)? Yes No N/A

Section V. Calculated Funding Determination Percentage

1. Percent spent on Certificated Employee Salaries and Benefits to Total Public Revenues 5 CCR 11963.3(c)(1)

Certificated Salaries and Benefits costs Line B.1.a(i)/Federal Revenues Lines A.1.a - PCSGP A.1.a(i) + State Revenues A.1.b

2. Percent spent on Instruction and Related Services to Total Revenues 5 CCR 11963.3(c)(2)

Instructional and Related Services costs Line B.1.d + Allowable Facilities costs 2.f.(iv)/Total Revenues Line A.1.e

Funding Determination Criteria	
If the percentages from lines V.1 or V.2 do not meet the spending criteria of the funding level requested as shown below, complete Section VI to provide mitigating circumstances for consideration by the Advisory Commission on Charter Schools (ACCS) for making a recommendation other than one that results from the criteria specified in regulations.	
100%	1) Line V.1 must equal or exceed 40 percent, 2) Line V.2 must equal or exceed 80 percent, AND 3) Line III.1.a. PTR cannot exceed 25:1 OR the PTR on line III.1.c, the ACCS shall recommend approval at 100%, unless there is a reasonable basis to recommend otherwise (5 CCR 11963.4[a][3]).
85%	1) Line V.1 must equal or exceed 40 percent, AND 2) Line V.2 must equal or exceed 70 percent but less than 80 percent, the ACCS shall recommend approval at 85%, unless there is a reasonable basis to recommend otherwise (5 CCR 11963.4[a][2]).
70%	1) Line V.1 must equal or exceed 35 percent, AND 2) Line V.2 must equal or exceed 60 percent but less than 70 percent, the ACCS shall recommend approval at 70%, unless there is a reasonable basis to recommend otherwise (5 CCR 11963.4[a][1]).
Denied	1) Line V.1 is less than 35 percent, OR 2) Line V.2 is less than 60 percent, the ACCS shall recommend that the SBE deny the request, unless there is a reasonable basis to recommend otherwise (5 CCR 11963.4[a][4]).

Section VI. Mitigating Circumstances (Complete lines 1-3 and attach any supporting documentation)

1. Explain why the charter school did not meet the criteria for the funding level requested. Include specific measures or actions taken by the charter school to comply.

2. List and explain the mitigating circumstance(s) to be considered by the CDE and ACCS.

3. Provide any other pertinent information that may assist the CDE and ACCS in conducting a detailed review or develop a reasonable basis for a recommendation.

Provided under separate cover.

Section VII. Certification (Review, sign, and date)

5 CCR 11963.3(b)(1)

I certify that:

1. The information provided is true and correct to the best of my ability and knowledge.
2. This charter school's nonclassroom-based instruction is conducted for and substantially dedicated to the instructional benefit of the school's students.
3. This charter school's governing board has adopted and implemented conflict of interest policies.
4. All of the charter school's transactions, contracts, and agreements are in the best interest of the school and reflect a reasonable market rate for all goods, services, and considerations rendered for or supplied to the school.

Heidi Gasca

Enter Name of Charter School's Director, Principal, or Governing Board Chairperson

Chief Executive Officer/Executive Director

Title of Authorized Individual

Before certifying with electronic/digital signature below, please be sure to check all numerical entries and information provided, and save a copy of this form. Modifications to the information reported on this form cannot be made after the signature field below has been completed. If providing a wet signature instead of an electronic one, please date the signature.



Heidi Gasca (Feb 14, 2023 10:22 PST)

Signature of Charter School's Director, Principal, or Governing Board Chairperson

Submit completed and electronically signed forms via email to FundingDeterminations@cde.ca.gov.

The CDE no longer requires the following documents:

- Hard copy of the FDF
- Hard or soft copy of the Conflict of Interest Policy

Therefore, please do not submit these documents to the CDE.

Excel Academy/2053

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Name of Entity: Bright Thinker

Amount: \$57,859

Purpose/Explanation: Instructional Materials and Services

Are contract payments based on specific services: Yes

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6.If reserves reported on line E.a (designated for economic uncertainties) OR E.b (facilities acquisition or capital projects) exceed the greater of \$50,000 or 5% of total expenditures, explain the need for such excess reserves.

The need for 15% for reserves for economic uncertainties allows the School to meet its ongoing obligations during the economic downturn. Using these reserves for ongoing expenses would jeopardize our solvency and operating ability. The pandemic created one-time revenues such as the PPP and other federal stimulus funding that were often received with little notice. However, expenditures were challenging to budget and predict, given rapidly changing circumstances regarding enrollment, physical lockdown, and safety measures.

Full funding will be used for data-driven school efforts including professional development, parent education, curriculum support, early literacy intervention, and equal access to educational technology programs and devices for educators and support staff to address students' mental health and social-emotional wellness needs to promote continued success for Excel Academy students. To continue providing excellent resources, we request approval for 100% funding from 2023-24 to 2027-28. With this funding, we will continue to provide top-notch education to our students while meeting our ongoing obligations through economic uncertainties.

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3. Provide any other pertinent information that may assist the CDE and ACCS in conducting a detailed review or develop a reasonable basis for a recommendation.

Excel Academy Charter School was awarded California's "Most Distinguished School" in 2023 for closing the achievement gap and attaining exceptional student performance. This honor was achieved through the school's excellent work by providing the best-certificated and support staff to our students by employing the resources and dollars where it will impact them most. With no disruption in funding, the School will continue a multiyear increase in its investments with students, teachers, and staff after struggling with the effects on physical and mental health from the COVID-19 pandemic.

Full funding will be used for data-driven school efforts including professional development, parent education, curriculum support, early literacy intervention, and equal access to educational technology programs and devices for educators and support staff to address students' mental health and social-emotional wellness needs to promote continued success for Excel Academy students. To continue providing excellent resources, we request approval for 100% funding from 2023-24 to 2027-28. With this funding, we will continue to provide top-notch education to our students while meeting our ongoing obligations through economic uncertainties.