School Support and Assistance and the Local Control and Accountability Plan for 2019-20

NOVEMBER 27, 2018

Agenda

Welcome

- Comprehensive Support and Improvement

- Local Control and Accountability Plan Summary Changes

- Local Control Funding Formula Budget Overview for Parents

Questions

School Support and Assistance

ESSA: School Identification

The Every Student Succeeds Act (ESSA) requires states to identify multiple categories of schools for different types of support (with associated planning requirements):

1. At least the lowest performing 5 percent of Title I schools—Comprehensive Support and Improvement (CSI)

1. High schools with graduation rates below 67 percent—CSI

2. Schools with "consistently underperforming" student groups—Targeted Support and Improvement (TSI)

3. Schools identified under #3 where a student group on its own is performing at or below the level of schools identified under 1 Additional Targeted Support and Improvement (ATSI)

Comprehensive Support and Improvement (CSI)

- Which schools are eligible for CSI?
  - Two groups of schools are eligible for CSI:
    - Lowest performing 5 percent of Title I schools
    - High schools with graduation rates below 67 percent

- How often are schools identified for CSI?
  - Identification process occurs in 2018 and 2019 and then once every three years

Lowest Performing 5 Percent of Title I Schools: Criteria

- What is the CSI criteria?
  - California's approved ESSA State Plan provides the criteria (color combinations) by which schools are selected for CSI.
    - Schools with all red indicators
    - Schools with all red but one indicator of any other color
    - Schools with all red and orange indicators
    - Schools with five or more indicators where majority are red

Graduation Rates Below 67 Percent: Details

- Any high school with a graduation rate less than 67 percent **averaged over two years** will be identified for CSI (based on the graduating Classes 2017 and 2018)

- **All** high schools (Title I, non-Title I, traditional, and DASS) are eligible for identification.

Targeted Support and Improvement (TSI)

- Which schools are eligible for TSI?
  - All schools (traditional, DASS, Title I, and non-Title I) can be identified for TSI.

- What is the criteria for TSI?
  - Schools with one or more student groups that, **for two consecutive years**, receive at least two Dashboard colors and meet the same criteria used to identify the five percent, will be identified for TSI

TSI: Timeline

- How often are schools selected for TSI?
  - The TSI selection process is annual.
  - The State Board of Education (SBE) will discuss the details of the TSI selection timeline at future meetings.

Additional Targeted Support and Improvement (ATSI)

- ESSA requires schools to be selected for ATSI beginning with the 2018 Dashboard.
  - To meet this requirement, all schools identified for TSI will be moved into ATSI
  - Note: This only occurs in the years that ATSI schools are identified.
- ATSI identification occurs in 2018 and 2019 and then once every three years

CSI, TSI, and ATSI

- Fast Facts:
  - Schools are identified for support under one category at a time.
    - Example: A school that meets the criteria for CSI will not meet the criteria for TSI or ATSI at the same time.

  - Any school that is not first identified for CSI will remain in the pool from which TSI schools will be identified.

ESSA 1003 Funding: Context

1. The ESSA provides resources and assistance for schools that are identified for support and improvement.

2. California will prioritize funding for schools that meet the criteria for CSI.

ESSA 1003 Funding: Background (1)

State Reservation: 1003(a)

For purposes of carrying out school improvement activities, the Elementary and Secondary Education Act of 1965 (ESEA), as amended by the ESSA, requires the State to reserve the **greater** of:

- ◦ 7 percent of the State's total Title I, Part A allocation;

  or

- ◦ the sum of the amount it reserved for fiscal year 2016 for school improvement under the ESEA, as amended by No Child Left Behind (NCLB), and the amount it received for fiscal year 2016 for its School Improvement Grant allocation under NCLB.

ESSA 1003 Funding: Background (2)

Uses: 1003(b)

States shall allocate no less than 95 percent of the reservation to make grants to local educational agencies (LEAs) to serve schools implementing CSI activities or TSI activities under Section 1111(d).

- Reservation is taken each year

- Allocations to LEAs are made each year
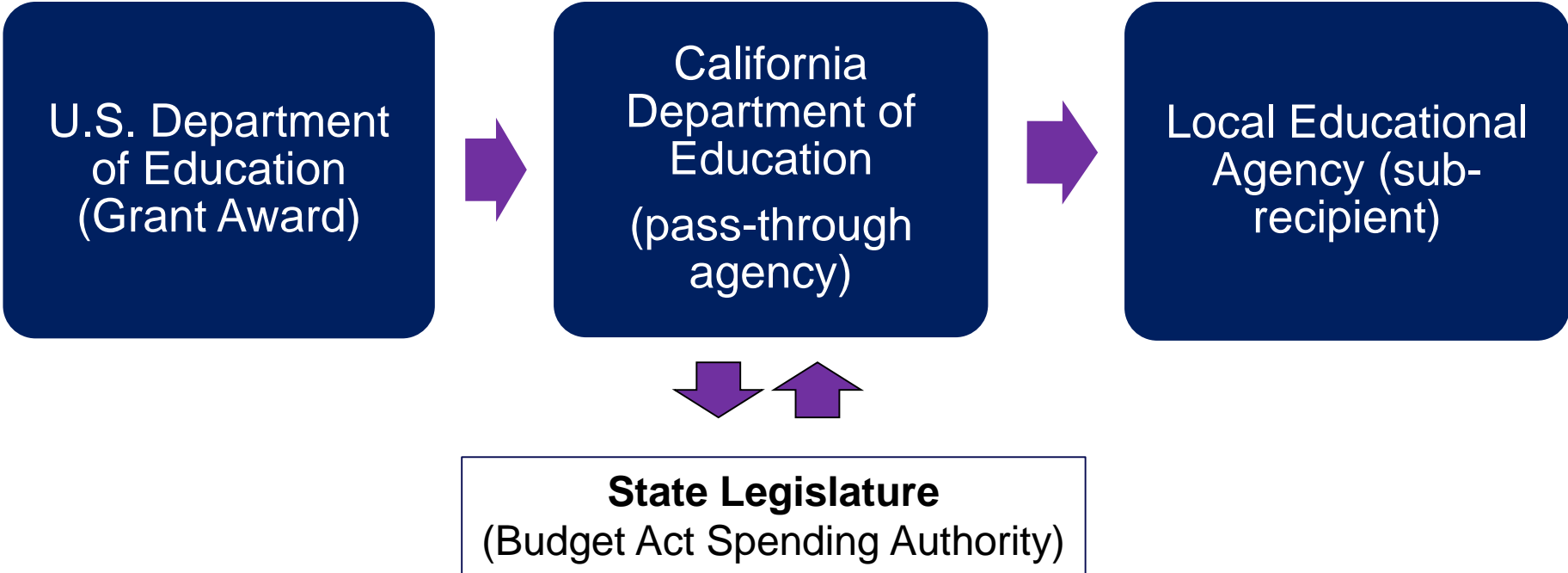
ESSA 1003 Funding: Background (3)

Priority of Funding: 1003(f)

In allocating school improvement funds to LEAs, the state educational agency shall give priority to LEAs that:

- serve high numbers, or a high percentage of, elementary and secondary schools implementing CSI and TSI plans 1111(d);
- demonstrate the greatest need for such funds, as determined by the state; and
- demonstrate the strongest commitment to using the funds to enable the lowest-performing schools to improve student achievement and student outcomes.

ESSA 1003 Funding: Funding Map

U.S. Department of Education (Grant Award) → California Department of Education (pass-through agency) → Local Educational Agency (sub-recipient)

State Legislature (Budget Act Spending Authority)

ESSA 1003 Funding:
2018 California Budget Act (1)

1. Grants to LEAs that serve schools identified for CSI

2. Grants to county offices of education (COEs) for supporting LEAs within their county that serve schools identified for CSI

ESSA 1003 Funding:
2018 California Budget Act (2)

Senate Bill (SB) 862 (Supplemental to SB 840)

1. Grants to **LEAs**
- Schedule (2)
- No less than $128,814,000
- Formula (*does not change the discretionary identity of funds*)
- Use of Funds—Federal requirements and:
  - Strategies aligned to goals, actions and services identified in the LEA's local control and accountability plan (LCAP)
  - Shall not be expended to hire additional permanent staff

ESSA 1003 Funding:
2018 California Budget Act (3)

Senate Bill (SB) 862 (Supplemental to SB 840)

2. Grants to **COEs**
   - Schedule (1)
   - $10 Million
   - Formula (State Board of Education, State Legislature, California Department of Education)
   - Use of Funds
     ◦ Support, review, and approve the LEA LCAP CSI Summary

ESSA 1003 Funding: Funding Considerations (1)

Identification Cycles

- Schools are identified for CSI once every three years

- Schools will be selected for CSI for the first time January 2019

- Dashboard data become available at the end of each calendar year

ESSA 1003 Funding: Funding Considerations (2)

Identification Cycles

- Schools can exit CSI every year based on Dashboard results

- Another cohort of schools will be identified January 2020

- LCAP and SPSA serve as school improvement processes; there is no separate process/program for school improvement

ESSA 1003 Funding: Funding Considerations (3)

LEA Allocations

- The seven percent reservation must be taken each year (annually) and LEA allocations (no less than 95 percent) must be made each year

- LEAs must submit an application for funding to receive an allocation

- 1003 funds are discretionary funds; treatment of discretionary funds differs from treatment of entitlement funds

- ESSA 1003 funds are time-limited

ESSA 1003 Funding: Funding Considerations (4)

LEA Allocations

- The State cannot carryover any of the 1003 funds from year-to-year

- Sustainability of improvement efforts

- Number of schools identified as needing additional support and assistance

- Funds can only be used in schools that are selected for CSI

ESSA Funding: Funding Formula

Total amount of available funds, divided by total number of CSI schools statewide, then multiplied by the total number of CSI schools within the LEA

$$\left(\frac{A}{B}\right) x \ C$$

A divided by *B*, then multiplied by *C*

The same method is used to determine COE grants.

ESSA 1003 Funding: 2018–19 Application for Funding (1)

Cohort One—LEAs with schools selected for CSI in January 2019

- 2019 Application for Funding
  - Three-year grant period
    - March 1, 2019, to June 30, 2022

ESSA 1003 Funding: 2018–19 Application for Funding (2)

Three Project Periods:

- FY 2018 Funds: **First** Project Period
  - March 1, 2019, to February 29, 2020

- FY 2019 Funds: **Second** Project Period (continuation grants)
  - January/February 1, 2020, to February 28, 2021

- FY 2020 Funds: **Final** Project Period (continuation grants)
  - January/February, 2021, to June 30, 2022

Funds must be obligated by the end date for each project period and liquidated within 90 days. Carryover of funds is not allowed.

ESSA 1003 Funding: LEA Apportionments

Apportionment	Amount	Trigger
First	25 Percent	Approved Application
Second	Expenditures	Fiscal Report
Third	Expenditures	Fiscal Report
Final	Final Expenditures	Final Fiscal Report

ESSA 1003 Funding: Funding Overview

Cohorts One and Two

Cohort	Identification	Available Funding	Grant Period
Cohort One	January 2019	Fiscal years: 2018, 2019, and 2020	March 2019 to June 2022
Cohort Two	January 2020	Fiscal years: 2019 and 2020	March 2020 to June 2022

Key Planning Cycles
- Spring 2019 LCAP Annual Update
- Spring 2020 New LCAP Three Year Cycle

ESSA 1003 Funding: Allowable Use of Funds

Related School Improvement Activities

- Building Capacity

- Partnering with Stakeholder

- Conducting Needs assessments

- Identifying/Developing Evidence-based Interventions (strategies and activities)

- Developing, implementing, monitoring, and evaluating improvement efforts (Annual)

- Reviewing/Identifying Resource Inequities

ESSA 1003 Funding: Feedback opportunity

Funding Formula

    1. What are we missing?

    2. What are additional considerations?

Application for Funding

    1. What are we missing?

    2. What are additional considerations?

Local Control and Accountability Plan Summary Changes

Current Proposal

- The School Site Council (SSC) develops the School Plan for Student Achievement (SPSA)

- The SPSA includes CSI Planning requirements

- The LEA governing board approves the SPSA

- The LEA reports the CSI Planning Summary in the LCAP Plan Summary

- The COE approves the LCAP (including CSI Plan Summary)

- The CDE recommends list of approved LCAPs with CSI plans to the SBE for approval

CSI Summary in LCAP (1)

1. How many schools within the LEA have been identified for CSI
   - Or identify the schools within the LEA that have been identified

2. Describe how the LEA is supporting the identified schools to develop the CSI plans that include:
   - School level needs assessment
   - Evidence-based interventions
   - Identification of any resource inequities to be addressed through the implementation of the CSI plan

CSI Summary in LCAP (2)

3. Describe how the LEA will monitor and evaluate the implementation and effectiveness of the CSI plan to support student and school improvement

Other Changes to the LCAP

- Remove Budget Summary from the LCAP Plan Summary

- Make conforming edits to instructions and appendices
  - Instructions for Budget Overview
  - Update Education Code referenced in LCAP
  - Update calculation rates

LCFF Budget Overview for Parents

Developing the Template

Background

Assembly Bill 1808 added California *Education Code* (*EC*) Section 52064.1, which requires that county offices of education, school districts, and charter schools (LEAs) develop the Local Control Funding Formula Budget Overview for Parents in conjunction with the LCAP.

Requirements (1)

The Budget Overview for Parents must be developed in conjunction with, and attached as a cover to, the LCAP for 2019-20.

It must also be included in the review and approval of the LCAP and posted on the local educational agency (LEA) website with the LCAP.

Requirements (2)

Statute requires:

- the Budget Overview for Parents template be developed and approved for inclusion in the 2019-20 LCAP.

- to the greatest extent practicable, the Budget Overview for Parents use language that is understandable and accessible to parents and that it display information using visuals and graphics.

Key

*in existing LCAP template Budget Summary

**in existing LCAP template Demonstration of Increased or Improved Services for Unduplicated Pupils

Template Requirements (1)

The template for the Budget Overview for Parents is required to contain the following information:

- The name and contact information for the LEA

- The total projected general fund revenue for the coming LCAP year, with the funds broken out as follows:
  - The total projected LCFF revenue*
  - The projected LCFF supplemental and concentration grants**
  - All other state funds
  - All local funds
  - All federal funds

Template Requirements (2)

The totals for the coming LCAP year for the following:

- Projected General Fund expenditures*
- Budgeted expenditures for Planned Actions/Services*
- Budgeted expenditures for Planned Actions/Services contributing to increasing or improving services for unduplicated students

A brief description of the activities or programs supported by General Fund expenditures that are not included in the LCAP*

Template Requirements (3)

Total projected revenue of LCFF supplemental and concentration grant funds

Total budgeted expenditures for planned Actions/Services in the coming LCAP year identified as contributing to services for unduplicated students

If projected S&C revenue is greater than budgeted expenditures, briefly describe how the actions/services in the LCAP improve services for unduplicated students will meet the increased or improved services requirement.

Template Requirements (4)

The totals for the current LCAP year, i.e. Annual Update, for the following:

- The budgeted expenditures for Actions/Services indicated as contributing to services for unduplicated students.

- The Estimated Actual Expenditures for Actions/Services indicated as contributing to increasing or improving services for unduplicated students.

Template Requirements (5)

For Actions/Services in 2018-19 identified as contributing to unduplicated students:

Total Budgeted Expenditures for Unduplicated Students in LCAP	$8,900,000
- Estimated Actual Expenditures for Unduplicated Students in LCAP	$8,600,000
	$300,000

Briefly describe how the difference impacted the provision of the Planned Actions/Services and the overall services for unduplicated students

Budget Overview for Parents Template (1)

Data Entry Sheet

Local Educational Agency (LEA) name:
Example Unified School District
CDS code:
5555550000000
LEA contact information:
LCAP Coordinator, (555) 555-5555, LCAPcoordinator@eusd.org

KEY
LCAP Year = Ensuing Fiscal Year
Current LCAP Year = Existing Fiscal Year

Projected General Fund Revenue for LCAP Year	
Total LCFF funds (including S&C grants)	$ 107,662,400
LCFF supplemental & concentration grants	$ 8,074,680
All other state funds	$ 9,420,460
All local funds	$ 6,728,900
All federal funds	$ 10,766,240
Total Projected Revenue	$ 134,578,000

Current LCAP Year	2018-19
Total Budgeted Expenditures for Unduplicated Students in LCAP*	$ 8,975,000
Estimated Actual Expenditures for Unduplicated Students in LCAP*	$ 8,623,000

LCAP Year	2019-20
Total Budgeted General Fund Expenditures	$ 134,500,000
Total Budgeted Expenditures in LCAP	$ 59,450,000
Total Budgeted Expenditures for Unduplicated Students in LCAP*	$ 8,050,560
Expenditures not in the LCAP	$ 75,050,000

* All funds budgeted to implement the actions/services included in the LCAP that contribute to the increased or improved services for unduplicated students.

Budget Overview for Parents Template (2)
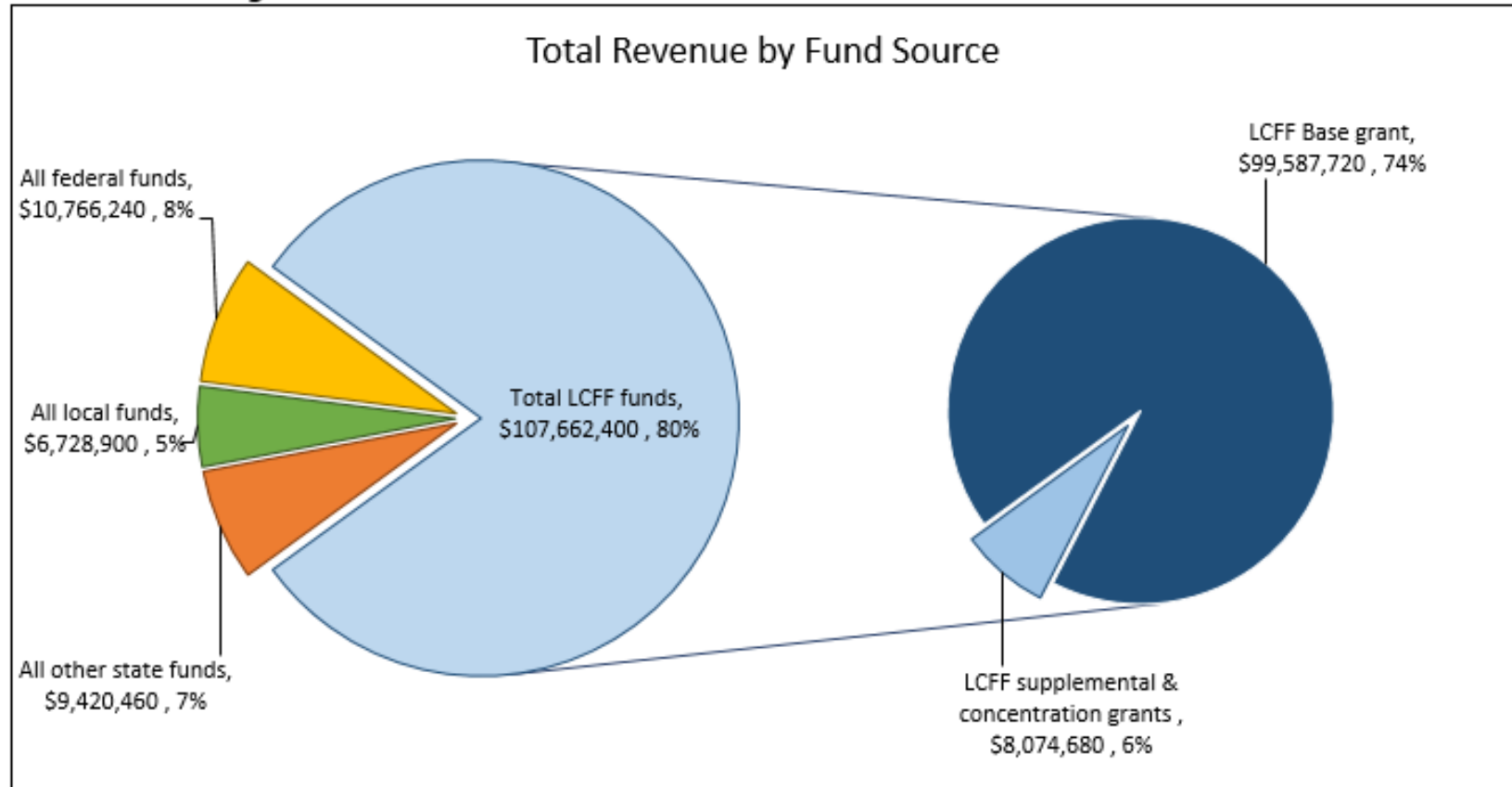
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Total Projected Revenue

Chart 1: This chart shows the total general-purpose revenue the LEA expects to receive in the coming year from all sources.

The total revenue projected for Example Unified School District is $134,578,000, of which $107,662,400 is Local Control Funding Formula (LCFF), $9,420,460 is other state funds, $6,728,900 is local funds, and $10,766,240 is federal funds. Of the $107,662,400 in LCFF Funds, $8,074,680 is generated based on the enrollment of foster youth, English learner, and low-income students.

# Budget Overview for Parents Template (3)

Data Entry Sheet:

Prompt 1

Briefly describe any of the General Fund Budget Expenditures for the LCAP year not included in the LCAP.

Maintenance of the physical plant, debt service, and salaries and benefits; 5 new busses for the use of all schools for various field trips, the remodeling of bathrooms at the five oldest school sites, new projectors in every classroom, and 2 Chromebook carts (40 laptops per cart) at each of the elementary and middle schools.

Budget Overview for Parents Template (4)

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Budget Overview

Budgeted Expenditures
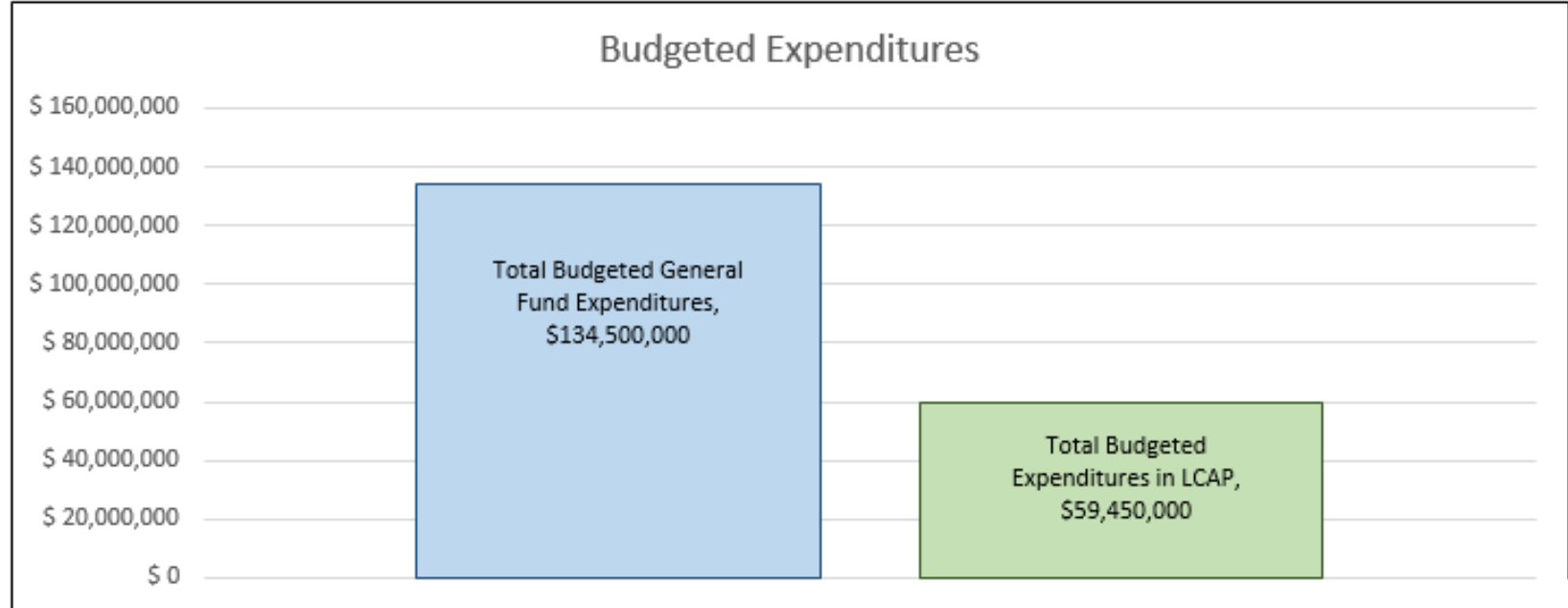
Chart 2: This chart provides a summary of how much the LEA plans to spend in the upcoming school year and how much of that total is being used for actions and services in the LCAP.

Example Unified School District has a budget of $134,500,000 for the 2019-20 school year. $59,450,000 of that amount has been budgeted for actions/services in the LCAP. The difference between the total budget and the budget in the LCAP is $75,050,000.

The funds that are not included in the budget for the LCAP will be used for the following: Maintenance of the physical plant, debt service, and salaries and benefits; 5 new busses for the use of all schools for various field trips, the remodeling of bathrooms at the five oldest school sites, new projectors in every classroom, and 2 Chromebook carts (40 laptops per cart) at each of the elementary and middle schools.

Budget Overview for Parents Template (5)

Data Entry Sheet:

Prompt 2

If the amount budgeted to increase or improve services for unduplicated students in 2019-20 is less than the projected revenue of LCFF supplemental and concentration grants for 2019-20, provide a brief description of how the actions/services in the LCAP will meet the requirement to improve services for unduplicated students.

The LEA modified course schedules to allow more focused instruction for unduplicated students, as well as modifying policies for behavior supports and discipline to complement implementation of PBIS and the addition of staff for that program.

Budget Overview for Parents Template (6)

Display for Page 2 (continued)

In 2019-20, Example Unified School District is projecting it will receive $8,074,680 based on the enrollment of foster youth, English learner, and low-income students. Example Unified School District must demonstrate the planned actions and services will increase or improve services for unduplicated students. In the LCAP, Example Unified School District plans to spend $8,050,560 on actions to meet this requirement.

To the extent these expenditures for actions in the LCAP are less than the projected income for these students, Example Unified School District intends to meet the improved services requirement in the following ways: The LEA modified course schedules to allow more focused instruction for unduplicated students, as well as modifying policies for behavior supports and discipline to complement implementation of PBIS and the addition of staff for that program.

Budget Overview for Parents Template (7)

Data Entry Sheet:

Prompt 3

If the total estimated actual expenditures for actions and services to increase or improve services for unduplicated students in 2018-19 is less than the total budgeted expenditures for those planned actions and services, briefly describe how this difference impacted the actions and services and the overall increased or improved services for unduplicated students in 2018-19.

We were unable to fill several student support services positions planned in the LCAP and because we received slightly less funding from the state than anticipated. These changes did not significantly impact the school district's ability to deliver planned services.

Budget Overview for Parents Template (8)

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Update on Increased or Improved Services for Unduplicated Students

Current Year Expenditures: Increased or Improved Services for Unduplicated Pupils

☐ Total Budgeted Expenditures for Unduplicated Students in the LCAP — $8,975,000

☐ Estimated Actual Expenditures for Unduplicated Students in LCAP — $8,623,000
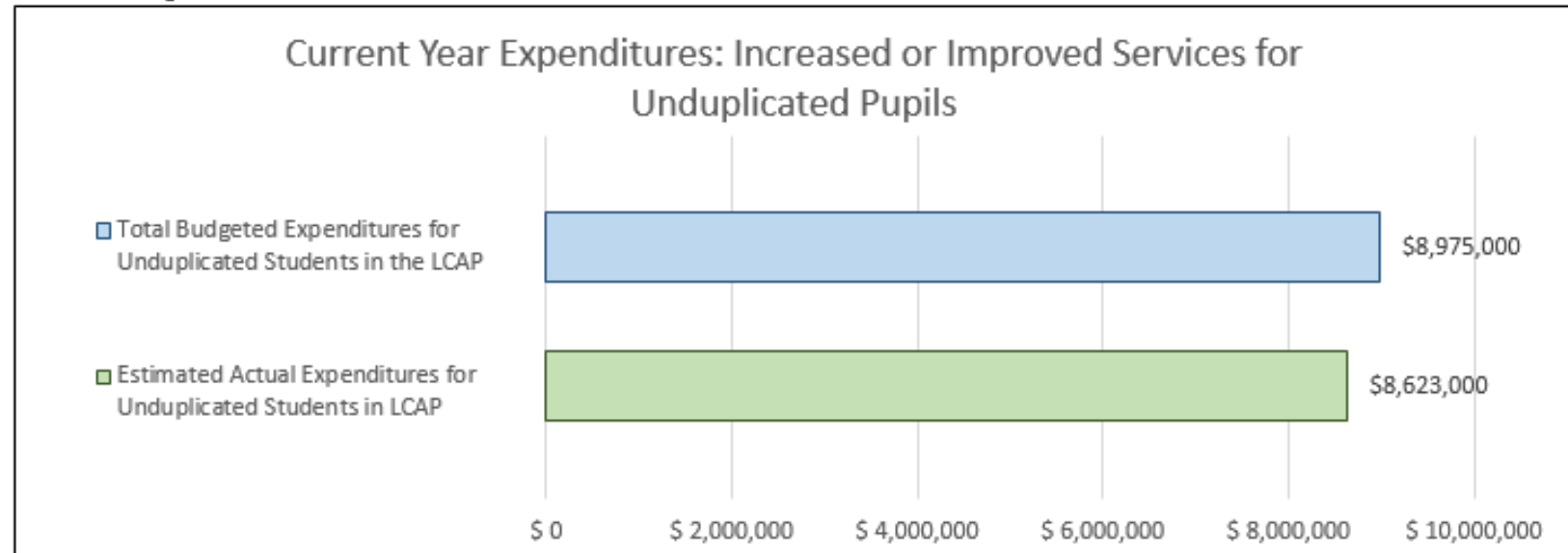
Chart 3: This chart compares what the LEA budgeted in last year's LCAP for actions/services that contributed to increasing or improving services for unduplicated students with what the LEA estimates it spent on those actions/services in the current year.

In 2018-19, Example Unified School District's LCAP budgeted $8,975,000 for planned actions and services to increase or improve services for unduplicated students. Example Unified School District estimates that it will actually spend $8,623,000 for actions and services to increase or improve services for unduplicated students in 2018-19.

The difference between the budgeted and actual expenditures had the following impact on Example Unified School District's ability to increase or improve services for unduplicated students, as required: We were unable to fill several student support services positions planned in the LCAP and because we received slightly less funding from the state than anticipated. These changes did not significantly impact the school district's ability to deliver planned services.

Timeline

- LCFF Budget Overview for Parents must be approved by December 31, 2018 by the Executive Director of the State Board of Education (SBE)

- Changes to LCAP template must be adopted by the SBE at its January 2019 meeting

- Guidance to be released after the January 2019 SBE meeting

Questions or Comments?

Please contact

Local Agency Systems Support Office

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916-319-0809